



Family and Juvenile Services  
1001 Vandalay Drive  
Frankfort, KY 40601  
(502) 573-2350

---

## COMPLAINTS FILED AND CLOSED IMPACTED BY SENATE BILL 200 CY 2014-2023

---

September 5, 2024

by

R. Ian Shepard, *Program & Data Analyst*

Chris Garrison, *Data Analysis Specialist*

Daniel Sturtevant, *Data Officer*

### Statistics Request Overall Description

On August 23, 2024, Research and Statistics received a request on behalf of a researcher at KY Policy for a report of complaints filed and closed from calendar year (CY) 2014 through 2023 which were impacted by Kentucky's Senate Bill 200 juvenile justice legislation. This includes breakdowns by demographic factors such as race and gender, offense factors such as max charge level and class for public offenses and status offense group for status offenses as well as specific charge descriptions, information about FAIR Team involvement, and data about outcomes including diversion and court referrals. This report would feature updated visuals from two sources: the CDW Performance Measures Dashboard on the KCOJ website and a PowerPoint presentation of the fiscal year 2023 performance measures for the CDW program.

Charges were compiled from AOC CDWCMS, the case management and information system used by AOC Court Designated Workers (CDW), and this data was filtered to include complaints filed or closed between January 1, 2014 through December 31, 2023.

### Statistical Report

The report consists of 19 total pages of visualizations: 14 pages of updated charts from the CDW Performance Measures Dashboard and 5 pages of updated charts from the performance measures PowerPoint. These sections are referred to as Part 1 and Part 2, respectively. The makeup of the report is as follows:

#### Part 1:

- Page 1: The first visualization on page one shows a line chart with the number of complaints filed each calendar year (2014-2023) broken down by public and status. The second visualization on page one shows the proportion of complaints filed by race for the measured calendar years and also provides a comparison for the racial breakdown of the general population.

---

## COMPLAINTS FILED AND CLOSED IMPACTED BY SENATE BILL 200 CY 2014-2023

---

### Statistical Report

- Page 2: The first visualization on page two breaks down complaint filings by whether the complaints were school related and by calendar year for 2014-2023. The second visualization shows the number of complaints each calendar year broken down by gender.
- Page 3: The visualization on page 3 is a bar chart showing the number of public complaints for three selected years: 2014, 2019, and 2023. The public complaints are broken down by the max charge level and class of the offenses within the complaint.
- Page 4: The chart on page 4 shows the number of status complaints for three selected years: 2014, 2019 and 2023. These are broken down by the specific status offense within the complaint.
- Page 5: The visualization on page 5 shows a breakdown of whether or not complaints during calendar years 2014-2023 had a referral to the FAIR Team.
- Page 6: This page features a chart showing the number of FAIR Team referrals for complaints filed during CY 2014-2023, broken down by the FAIR referral reason.
- Page 7: The first visualization on this page is a table with the same information as the bar graph on page 6. The second visualization is a line chart which shows the number of complaints for which the youth was taken into custody, and the percentage of these complaints which were detained at intake.
- Page 8: The first chart on this page is a bar chart which shows the percentage of complaints where the youth was detained broken down by race and ethnicity. The second visualization is a line chart which shows the number of complaints closed during the measured calendar years by public and status.
- Page 9: This page shows two charts that display the diversion rate of complaints during the years 2014, 2019, and 2023. The first chart shows the diversion rate of all complaints, while the second chart shows a comparison with just the diversion rate of diversion eligible complaints.
- Page 10: This page shows two visualizations regarding the success rate of diversion agreements during CY 2014, 2019, and 2023. The first chart is broken down by race and ethnicity, while the second chart is broken down by gender.
- Page 11: This page shows two visualizations regarding the diversion override rate during CY 2014, 2019, and 2023. The first chart is broken down by race and ethnicity, while the second chart is broken down by gender.
- Page 12: The first visualization on this page is a bar chart showing the outcome of complaints with FAIR referrals for CY 2014-2023. The second visualization shows the number of youthful offender referrals during the same timeframe.
- Page 13: This page features a visualization which compares the youth population of Kentucky by race to the number of complaints filed, diversions overridden, complaints detained, and youthful offender referrals all by race. The first graph shows these values for CY 2014, while the second shows them for CY 2019.
- Page 14: This page features a single visualization similar to the ones featured on page 13 for CY 2023.

Part 2:

# COMPLAINTS FILED AND CLOSED IMPACTED BY SENATE BILL 200 CY 2014-2023

## Statistical Report

- Page 1: This page consists of two bar charts, one breaking down public complaints during CY 2014, 2019, and 2023 while the other does the same for status complaints. Public complaints are broken down by max charge level and class while status complaints are broken down by status offense group.
- Page 2: The first visualization on this page shows the charges filed in CY 2014, 2019, and 2023 by AOC offense category. The second visualization narrows down to only the top 10 offenses filed during each of those years, in descending order based on number of complaints.
- Page 3: The first visualization of this page is similar to the second chart on the previous page, showing the same information but limited to only those complaints which were school-related. The second chart shows the number of county attorney overrides each year and the percentage of total complaints closures those overrides composed.
- Page 4: The first visualization on this page is similar to the second visualization of the previous page, but displays diversion overrides by the judge rather than the county attorney. The second visualization shows a comparison of white youth and Black youth in CY 2014 at the following contact points: presence in the general population, complaint closures, diversion overrides, detention at intake, and youthful offender referrals.
- Page 5: The two visualizations on this page are similar to the second graph on the previous page. The first is for the year CY 2019, and the second for the year CY 2023.

### Statistical Analysis Considerations

- The statistical information presented is a snapshot in time as of the date the data was queried (September 5, 2024).
- A count of charges does not equal a count of youth, as a complaint may have multiple charges and a youth may have multiple complaints.
- A complaint or a youth may have one or multiple charges, each of which may potentially fall into different rows/columns (level, offense, etc.) within a table. Complaints and youth are counted once in each row/column combination applicable to at least one charge associated with the complaint or youth. This causes subtotals of complaints and youth to add up to a count larger than the actual overall grand total.

### Data Element/Field/Variable Requested

Database	Data Element/Field/Variable	Description/Definition
AOC_CDWCMS	Complaint	A verified statement containing allegations against a youth, with sufficient information for the filing of a subsequent petition.
	Charge	An individual act of conduct in violation of the Kentucky Revised Statutes.

**COMPLAINTS FILED AND CLOSED IMPACTED BY SENATE BILL 200  
CY 2014-2023**

	Youth	The subject of a complaint, usually an individual under the age of 18.
	Complaint Filing Date	The date when the complaint was signed by the affiant, the individual filing the complaint. The complaints in this report were filed between January 1, 2014 through December 31, 2023.
	Complaint Close Date	The date when the complaint was closed out in the court designated worker data system. The complaints in this report were closed between January 1, 2014 through December 31, 2023.
	Complaint Type	Indicates whether the complaint was a public complaint or a status complaint.
	Public Complaint	A complaint containing offenses which are applicable to youth as well as adults.
	Status Complaint	A complaint containing non-criminal offenses only applicable to youth.
	Race and Ethnicity	Indicates the self-reported race and/or ethnicity of the youth, collected into the following five groups for reporting purposes: <ul style="list-style-type: none"> <li>• Black</li> <li>• Hispanic (anyone who chose Hispanic ethnicity is included here regardless of race)</li> <li>• White</li> <li>• Other (includes all race categories too small for reporting purposes)</li> <li>• Unknown</li> </ul>
	Gender	Indicates the self-reported legal sex of the youth.
	Max Charge Level and Class	Indicates the charge level (e.g. misdemeanor, felony) and charge class (e.g. class A, class B) of the most serious offense within a complaint.
	Status Offense Group	Indicates which status offense was the offense contained within a status complaint.
	FAIR Team	Stands for Family Accountability, Intervention and Response (FAIR) Team. A multidisciplinary team that provides enhanced case management to youth who meet specific criteria.
	FAIR Referral	Indicates a complaint where the youth met the criteria to be referred to the FAIR Team.
	FAIR Referral Reason	Indicates the criteria which was met in order for the youth to be referred to the FAIR Team.
	Taken Into Custody	Refers to youth who are arrested by law enforcement instead of being cited and released. These youth may be released by the peace officer or the judge, or may be detained at intake.

**COMPLAINTS FILED AND CLOSED IMPACTED BY SENATE BILL 200  
CY 2014-2023**

	Detention Rate	Indicates the percentage of complaints taken into custody which were detained at intake.
	Diversion Status	Indicates whether or not a complaint received a diversion agreement.
	Diversion Eligible	Indicates whether or not a complaint meets the criteria to be diverted. Youth may be diverted on 3 nonfelony complaints and 1 felony complaint, provided the charges do not fall under certain criteria outlined in the KRS.
	Diversion Success Rate	Indicates the percentage of diverted complaints which were closed successfully.
	Diversion Override	Indicates that a diversion-eligible complaint was denied diversion. Diversions may be overridden by either the county attorney or the judge.
	Complaint Close Group	Collects the various close reasons for complaints into three broad categories for reporting: dismissed as a successful diversion, dismissed by other means, or referred to court.
	AOC Offense Category	A system of categorization used to collect similar charge descriptions together for reporting purposes.
	Charge Description	The formal name of a charge within a complaint.
	School Related	Indicates that the filing of the complaint was initiated by the school.
	Youthful Offender Referral	A close reason which indicates that the youth met criteria to be prosecuted as an adult under KRS 635.020(2)-(8). Note that this data point only indicates that the youth met criteria to be charged as a youthful offender, but the court may not have chosen to transfer the case to circuit court or charge the youth as an adult.

**Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)**

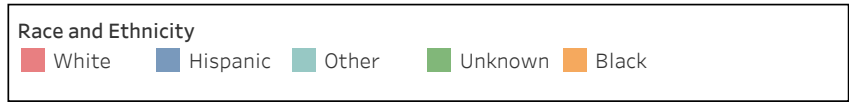
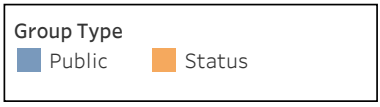
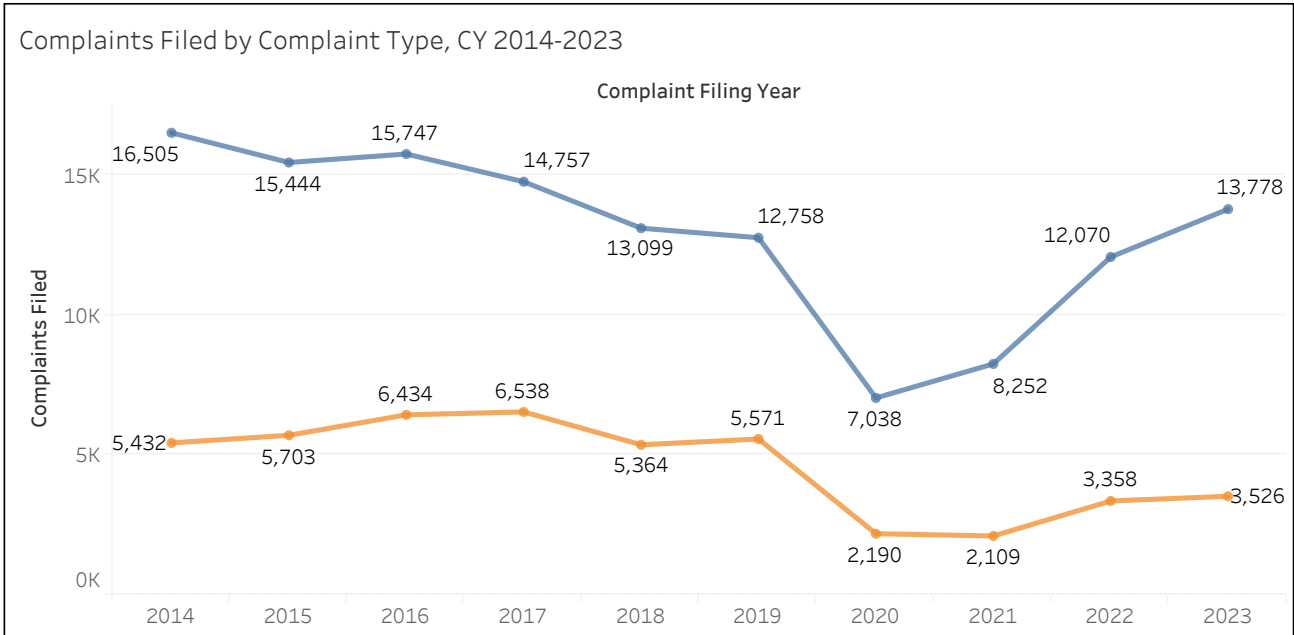
**RESEARCH AND STATISTICS DISCLAIMER for CDWCMS REQUESTS**

The data from this report is provided from the Court Designated Worker program. Information received from the Court Designated Worker Program electronic case management system (CDWCMS) is subject to change(s), reprogramming, modification(s) of format and availability at the direction of the Administrative Office of the Courts (AOC), and may not at any particular moment reflect the true status of court cases due to ordinary limitation(s), delay(s) or error(s) in the system's operation.

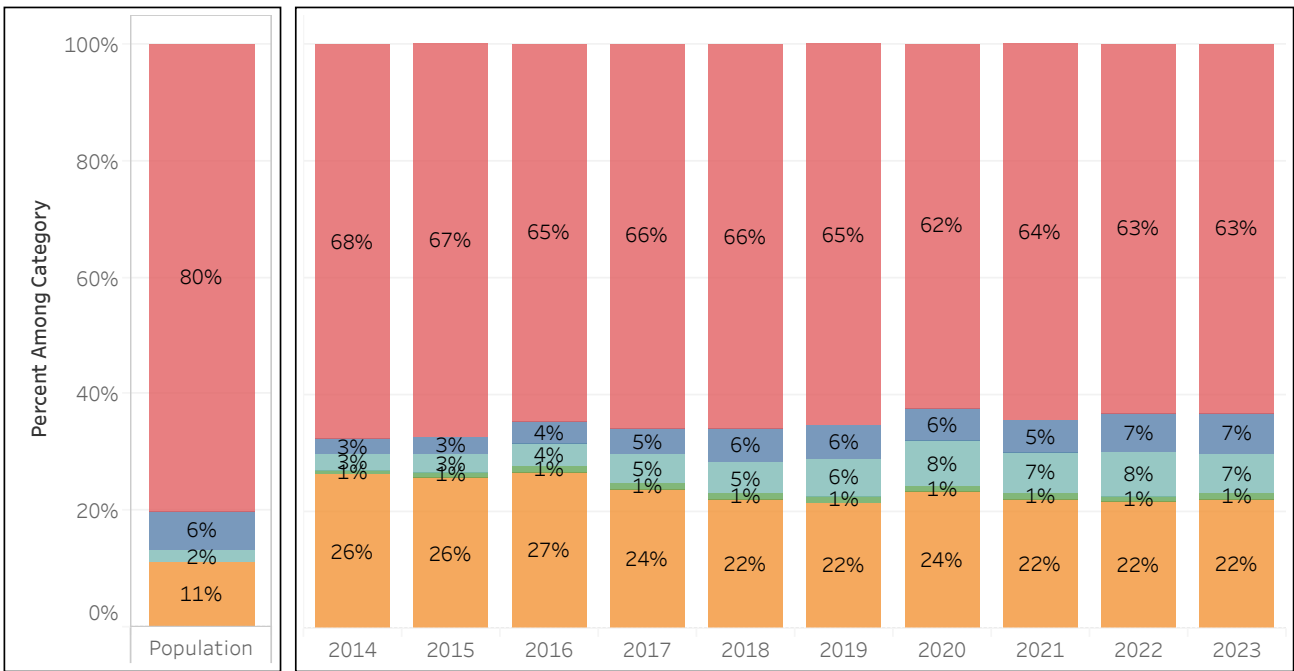


ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Filed by Complaint Type and Race/Ethnicity  
CY 2014-2023



Complaints Filed by Race/Ethnicity and Calendar Year Compared to the General Population, CY 2014-2023



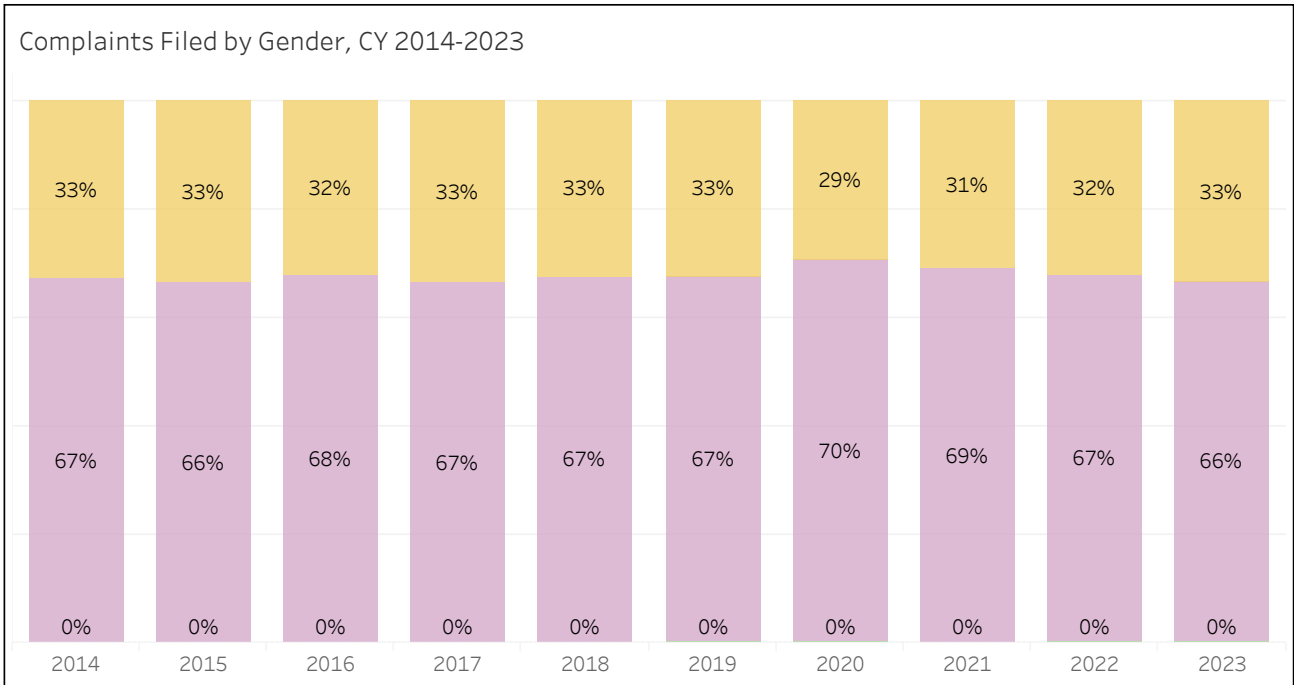
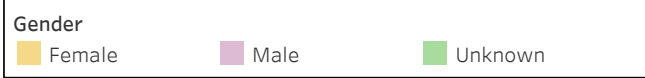
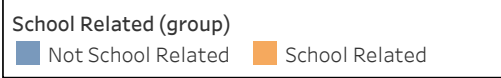
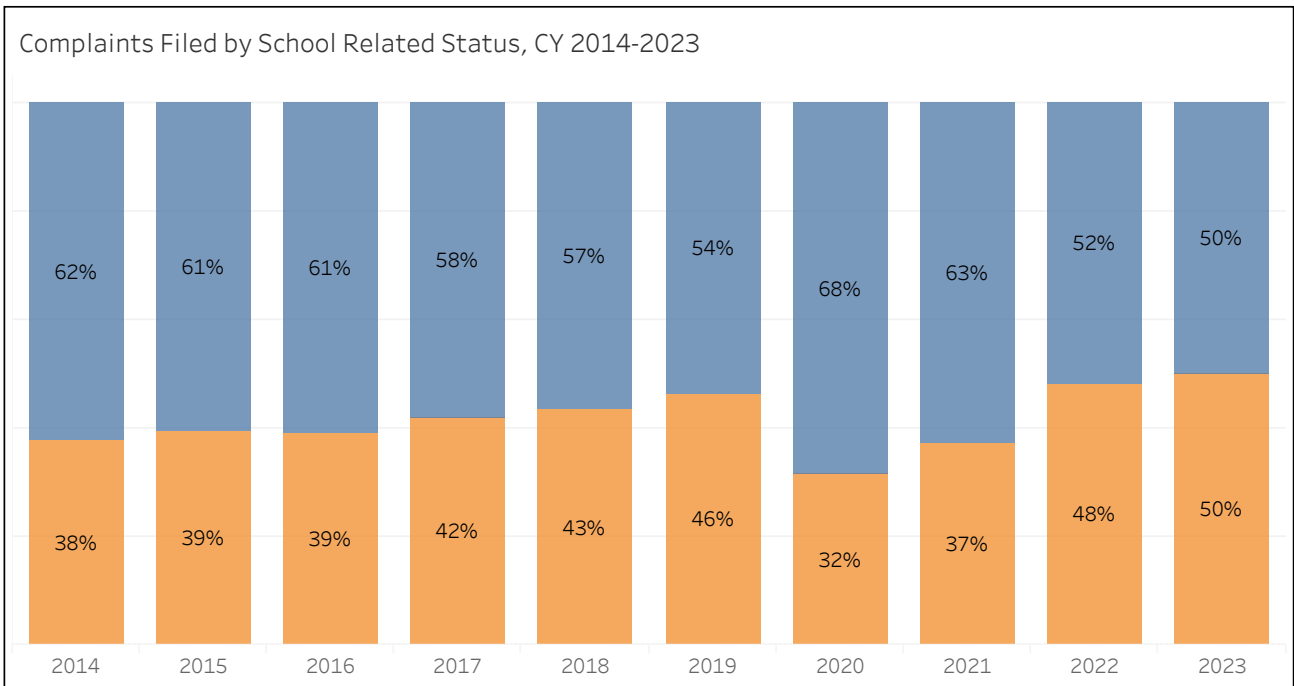
Statistical Analysis Considerations

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Filed by School Related Status and Gender  
CY 2014-2023



Statistical Analysis Considerations

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.

Run Date:

9/5/2024

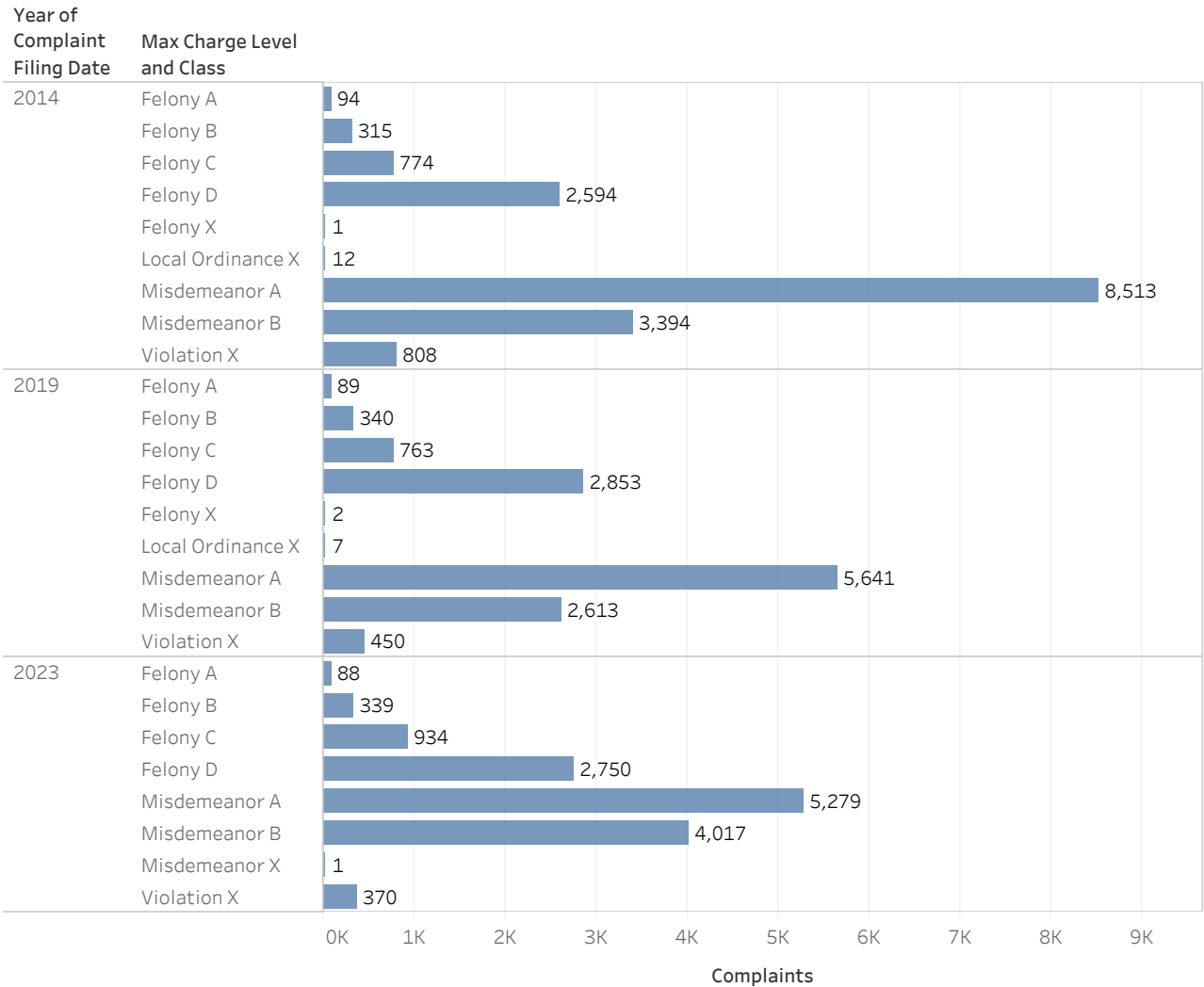
Page 2 of 14



**ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics**

**Complaints Filed with Public Offenses by Max Charge Level and Class  
CY 2014-2023**

Complaints Filed with Public Offenses by Max Charge Level and Class, CY 2014, 2019, & 2023



**Statistical Analysis Considerations**

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.

Run Date:

9/5/2024

Page 3 of 14

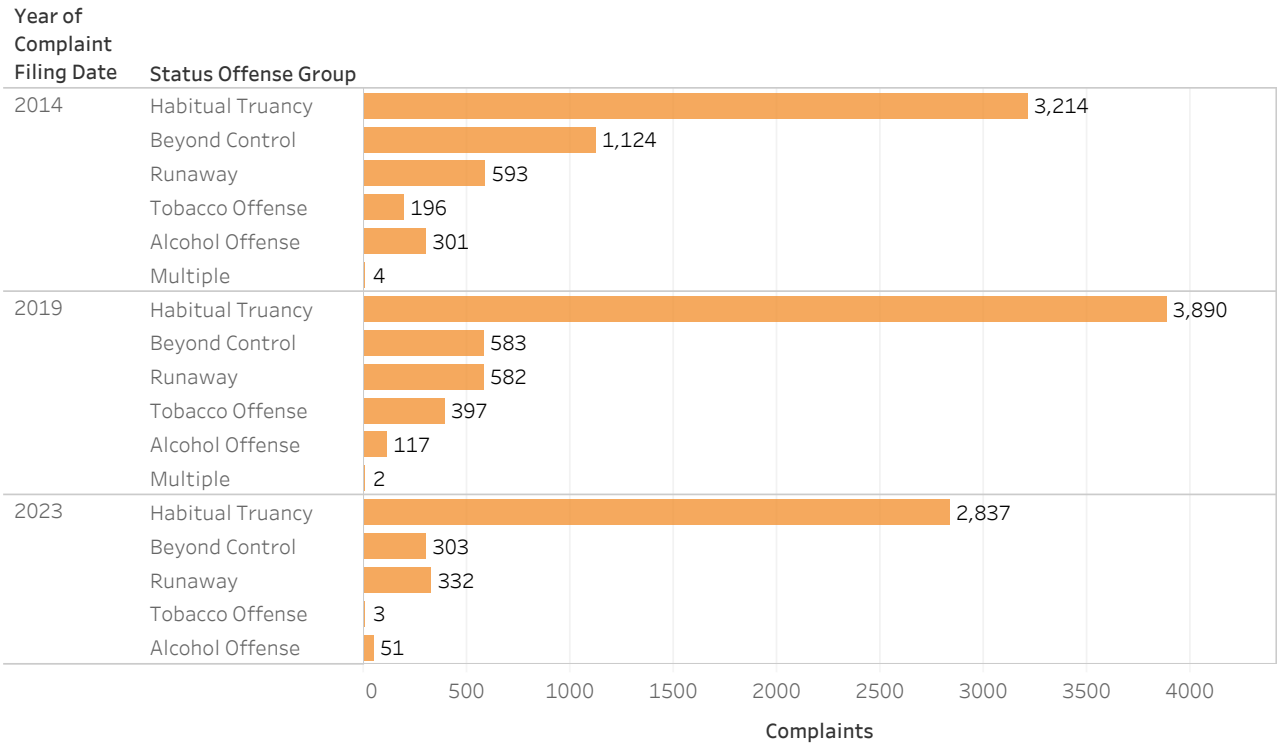




**ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics**

**Complaints Filed with Status Offenses by Status Offense Group  
CY 2014-2023**

Complaints Filed with Status Offenses by Status Offense Group, CY 2014, 2019, & 2023



**Statistical Analysis Considerations**

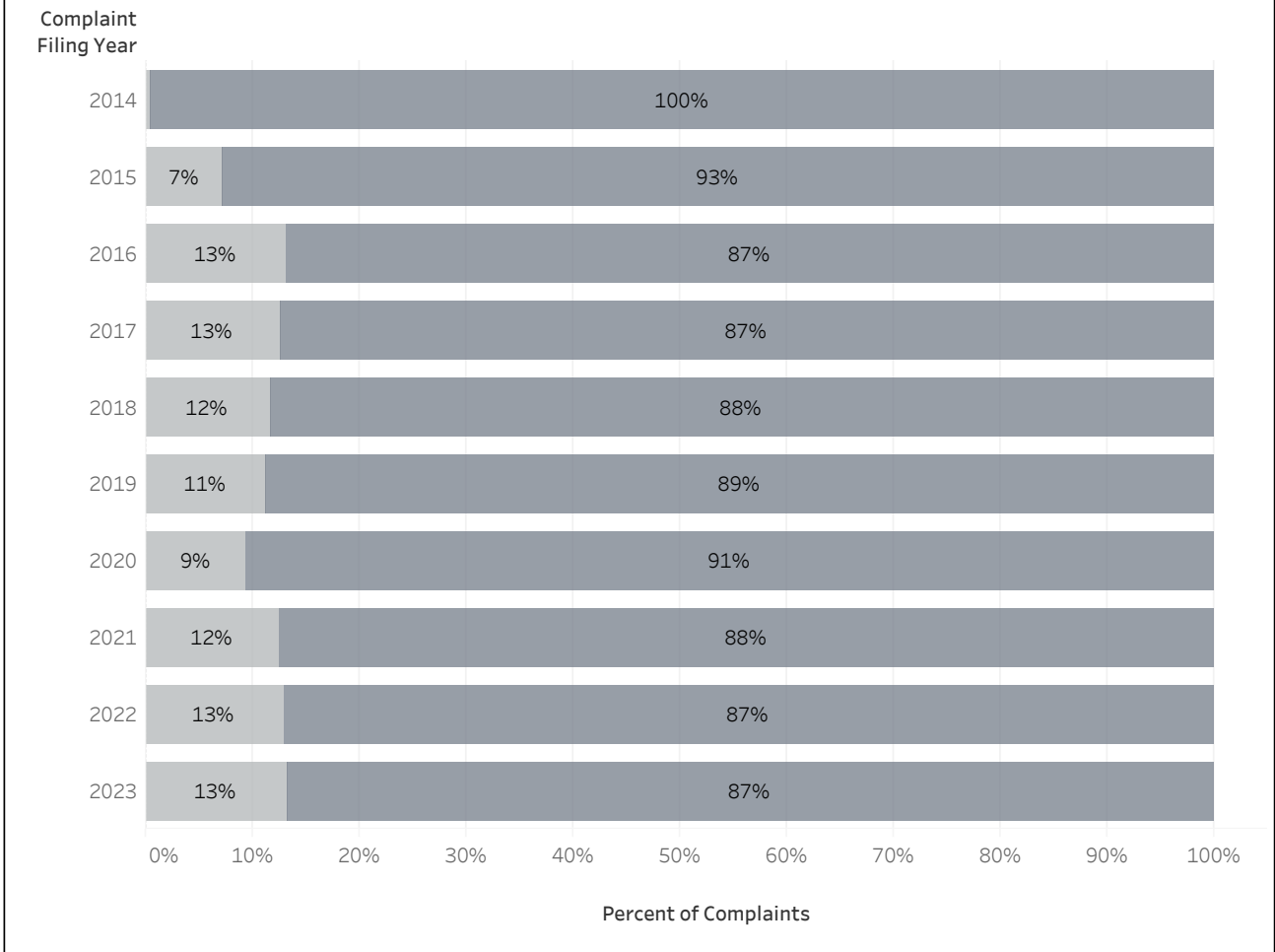
- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Filed with Status Offenses by FAIR Referral Status  
CY 2014-2023

Complaints Filed with FAIR Referral vs. Not, CY 2014, 2019, & 2023



Has FAIR Team Referral:  
 Yes
  No

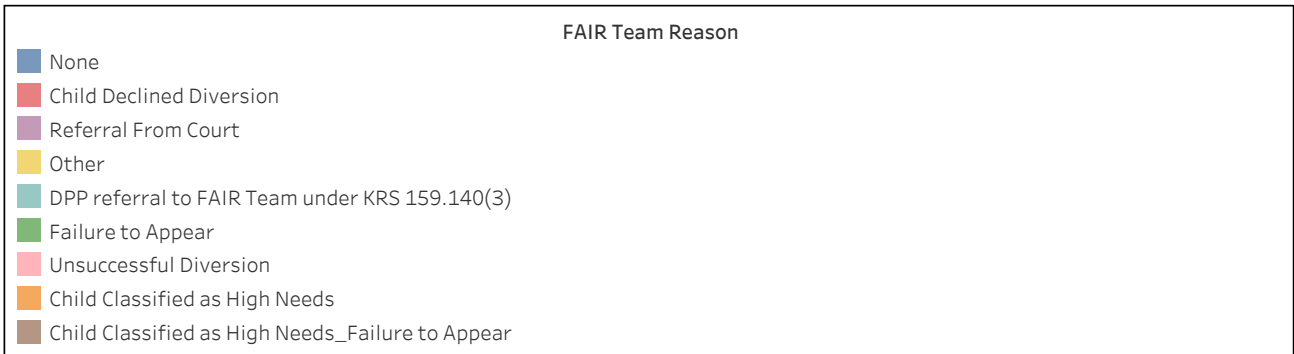
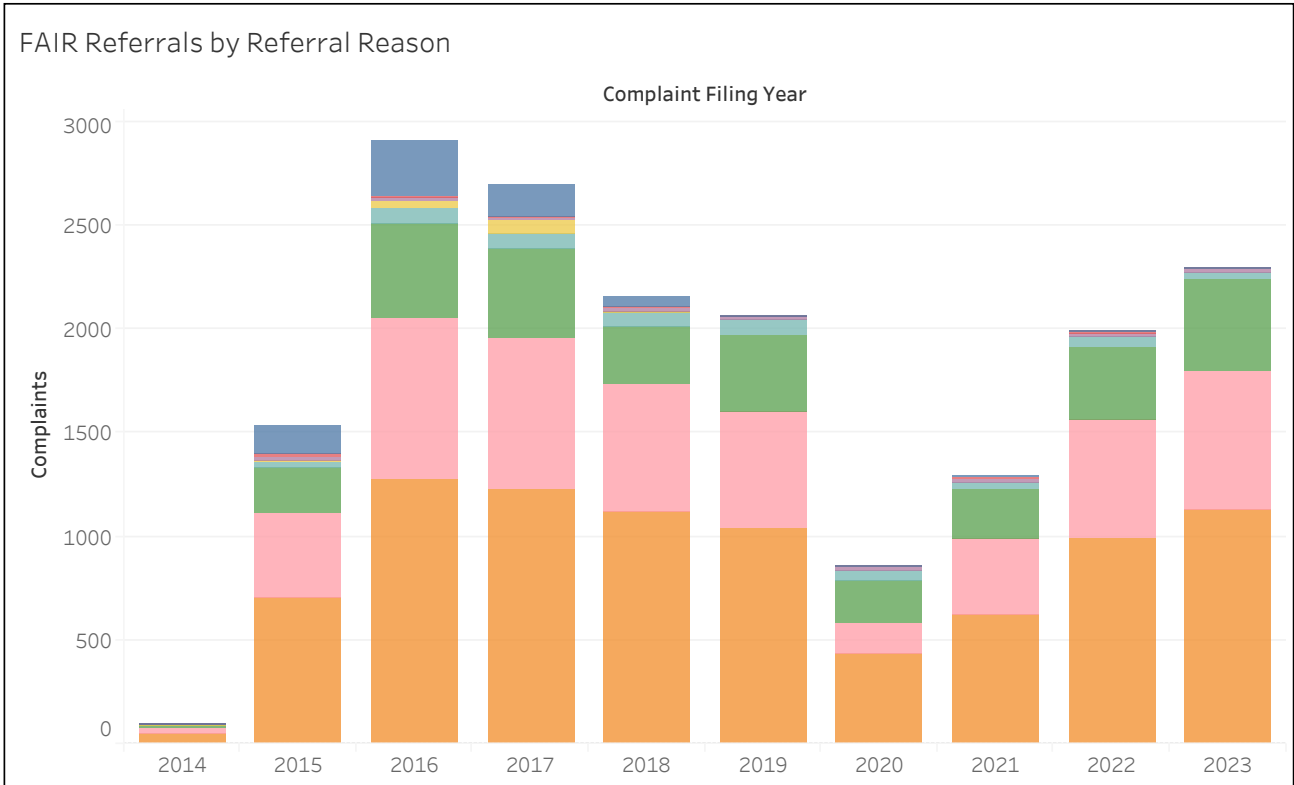
Statistical Analysis Considerations

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Filed with FAIR Referral by Referral Reason  
CY 2014-2023



Statistical Analysis Considerations

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



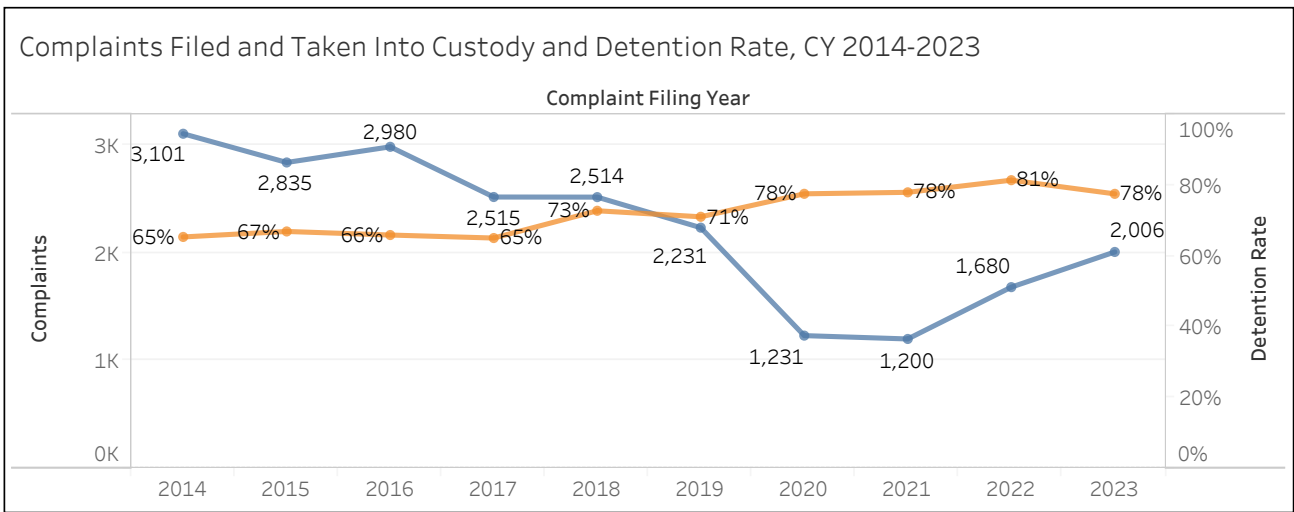
**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**

**Complaints Filed with FAIR Referral by Referral Reason and Intake Action**  
**CY 2014-2023**

FAIR Team Reason		Complaint Filing Year										Grand Total
		2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	
None	Complaints	2	138	270	153	49	1	2	2	3	4	624
	% of Complaints	2%	9%	9%	6%	2%	0%	0%	0%	0%	0%	3%
Child Declined Diversion	Complaints	3	11	13	12	6	9	11	15	11	8	99
	% of Complaints	3%	1%	0%	0%	0%	0%	1%	1%	1%	0%	1%
Referral From Court	Complaints	7	20	9	5	16	15	9	14	22	12	129
	% of Complaints	7%	1%	0%	0%	1%	1%	1%	1%	1%	1%	1%
Other	Complaints	1	12	36	66	1						116
	% of Complaints	1%	1%	1%	2%	0%						1%
DPP referral to FAIR Team und..	Complaints		20	72	72	72	72	53	35	46	36	478
	% of Complaints		1%	2%	3%	3%	3%	6%	3%	2%	2%	3%
Failure to Appear	Complaints	11	219	459	438	278	367	207	230	349	439	2,997
	% of Complaints	11%	14%	16%	16%	13%	18%	24%	18%	18%	19%	17%
Unsuccessful Diversion	Complaints	27	410	774	728	610	572	147	375	578	666	4,887
	% of Complaints	27%	27%	27%	27%	28%	28%	17%	29%	29%	29%	27%
Child Classified as High Needs	Complaints	49	704	1,278	1,223	1,122	1,034	430	618	985	1,132	8,575
	% of Complaints	49%	46%	44%	45%	52%	50%	50%	48%	49%	49%	48%
Child Classified as High Needs...	Complaints				1							1
	% of Complaints				0%							0%
Grand Total	Complaints	100	1,534	2,911	2,698	2,154	2,070	859	1,289	1,994	2,297	17,906
	% of Complaints	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Measure Names

■ Complaints
 ■ Detention Rate



**Statistical Analysis Considerations**

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.

Run Date:

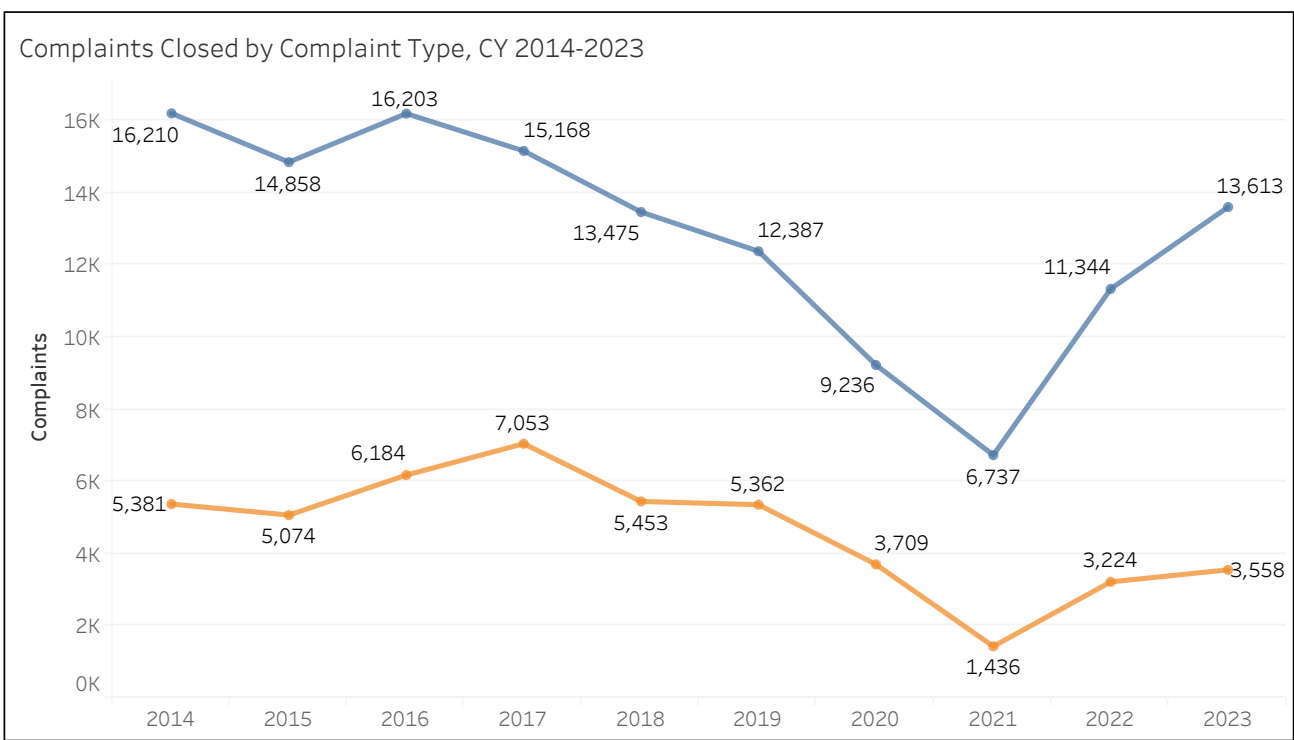
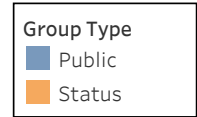
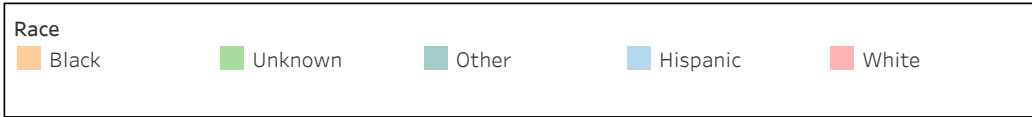
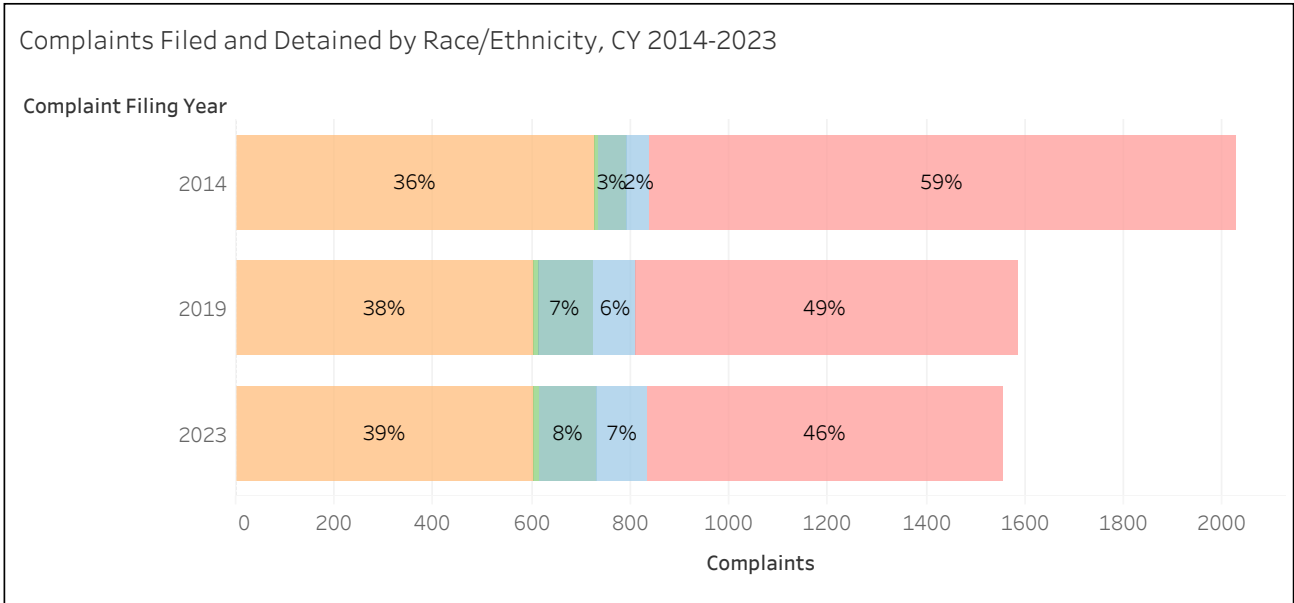
9/5/2024

Page 7 of 14



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Filed and Detained by Race and Complaints Closed by Complaint Type  
CY 2014-2023



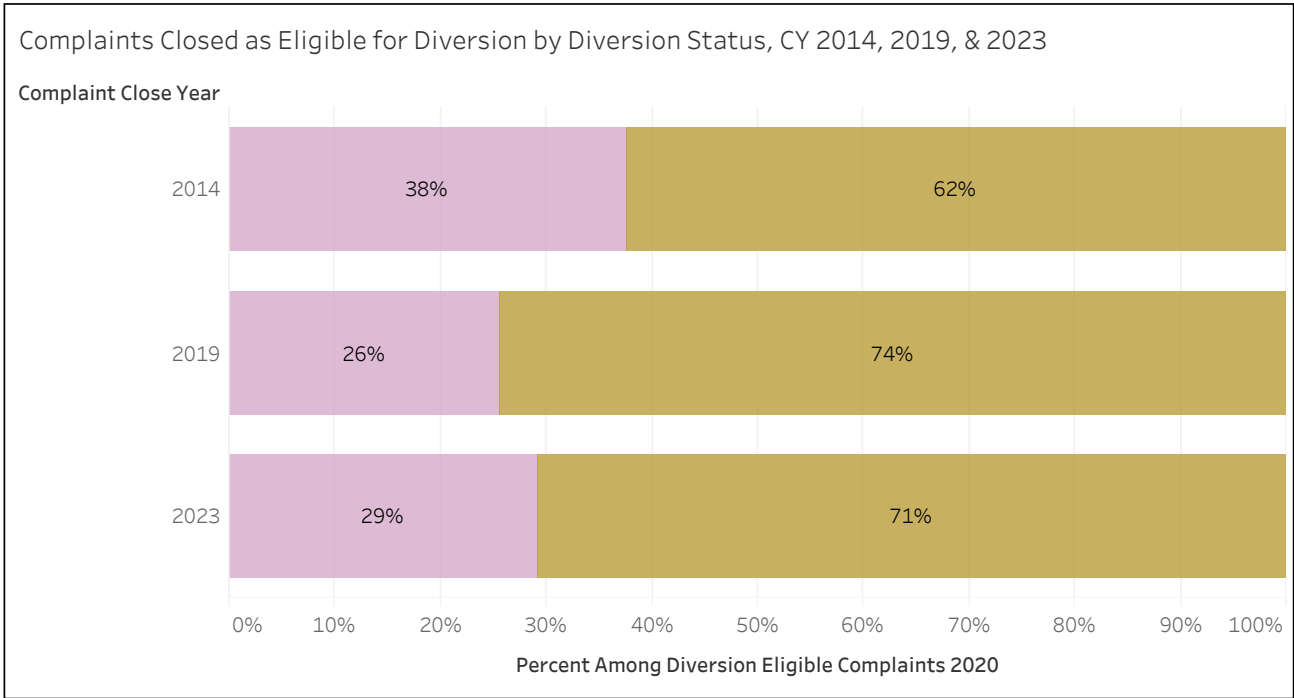
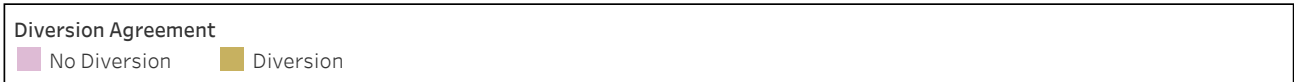
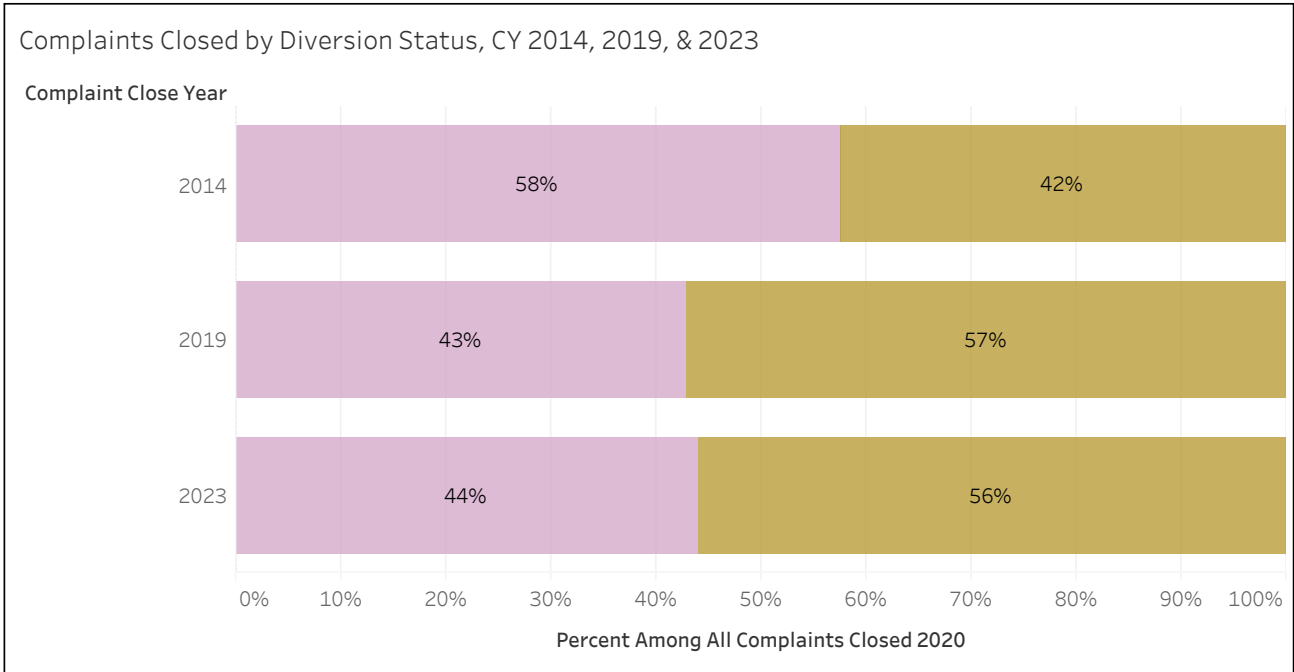
Statistical Analysis Considerations

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Closed by Diversion Status and Diversion Eligibility  
CY 2014-2023



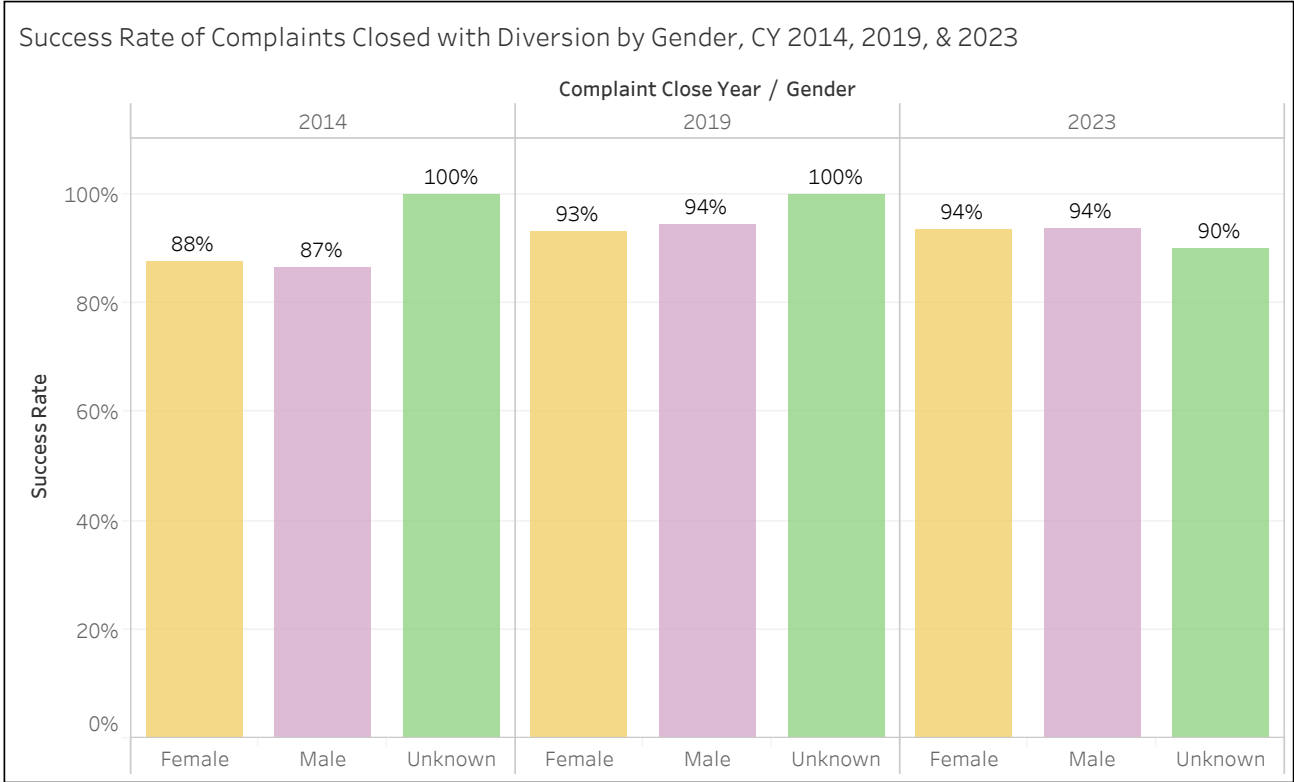
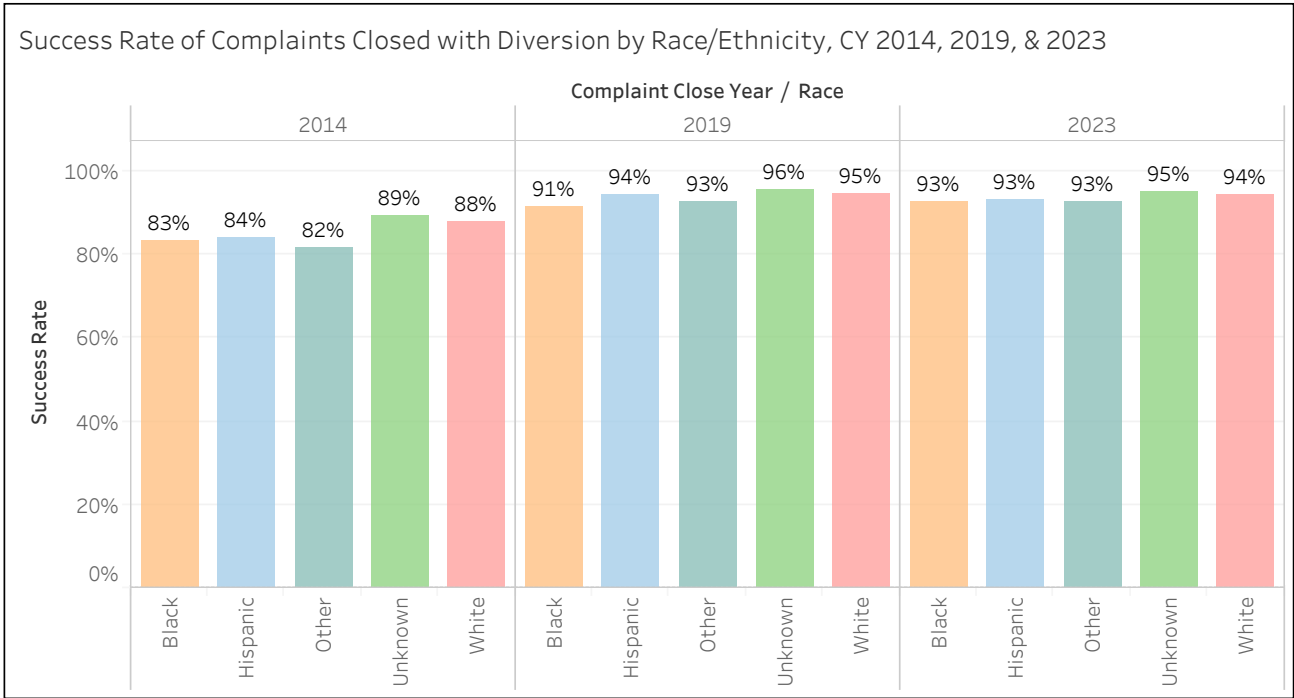
Statistical Analysis Considerations

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**

**Complaints Closed by as Successful Diversion by Race and Gender**  
**CY 2014-2023**



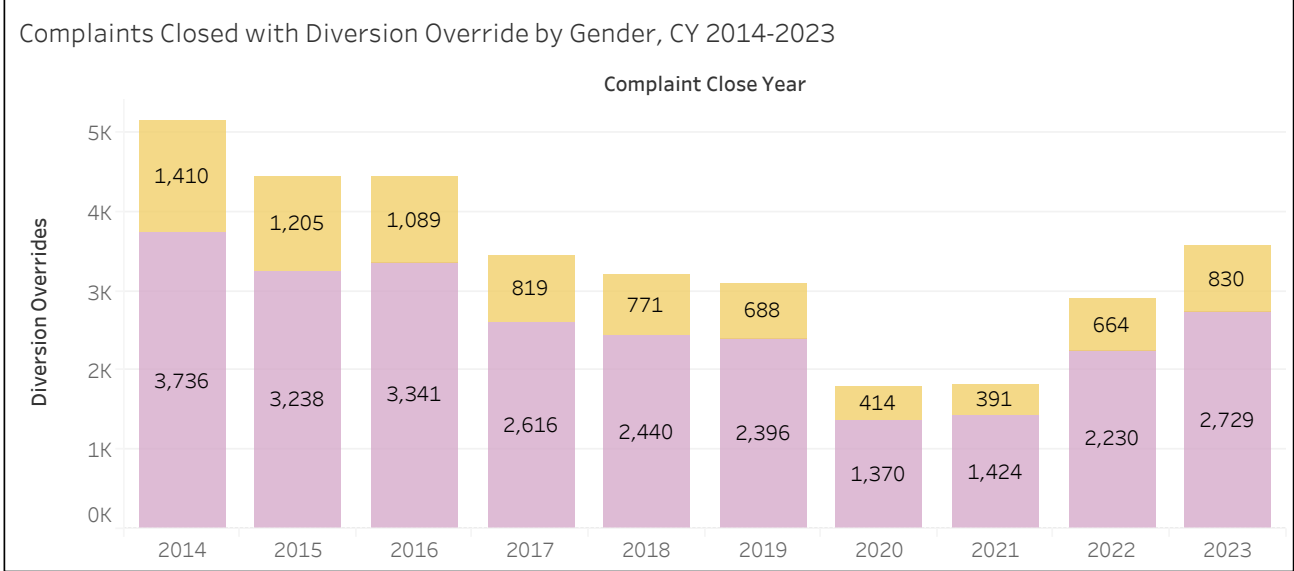
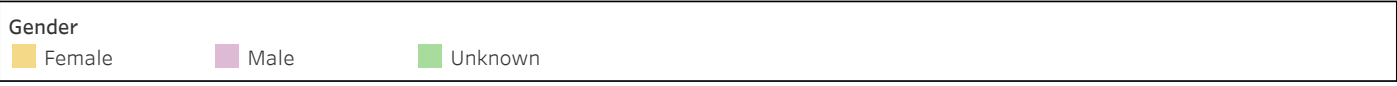
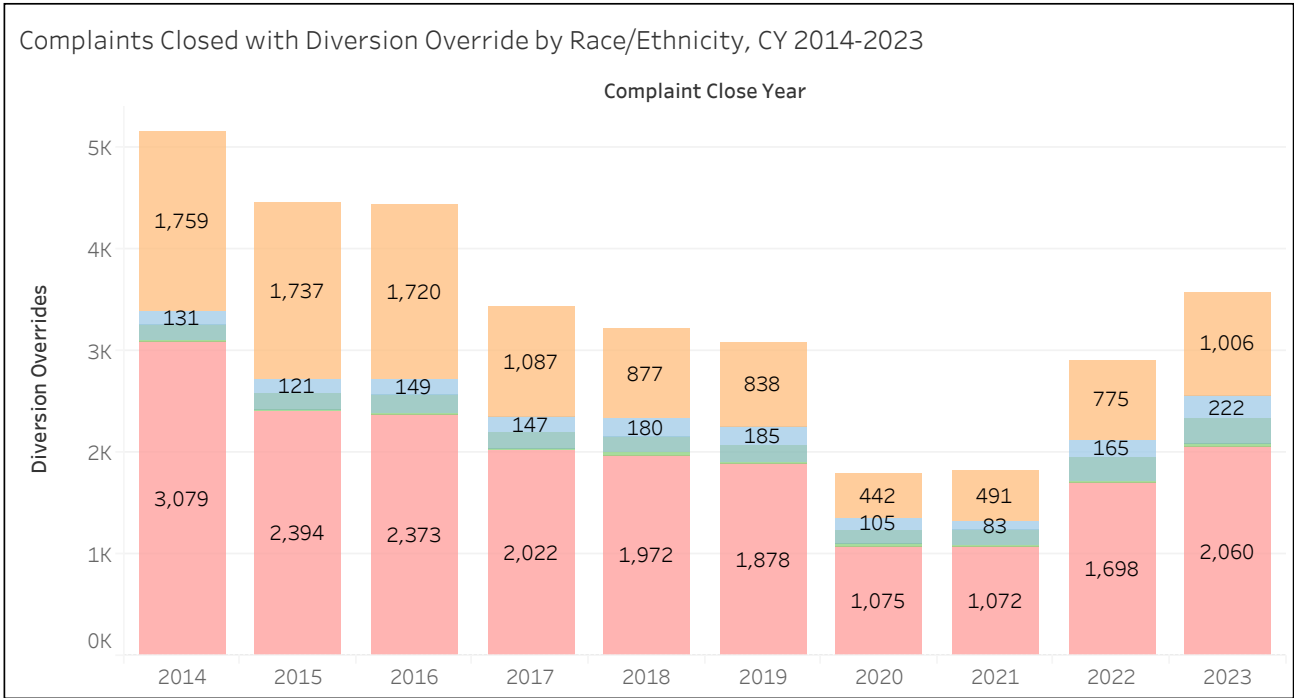
**Statistical Analysis Considerations**

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Closed with Diversion Override by Race and Gender  
CY 2014-2023



Statistical Analysis Considerations

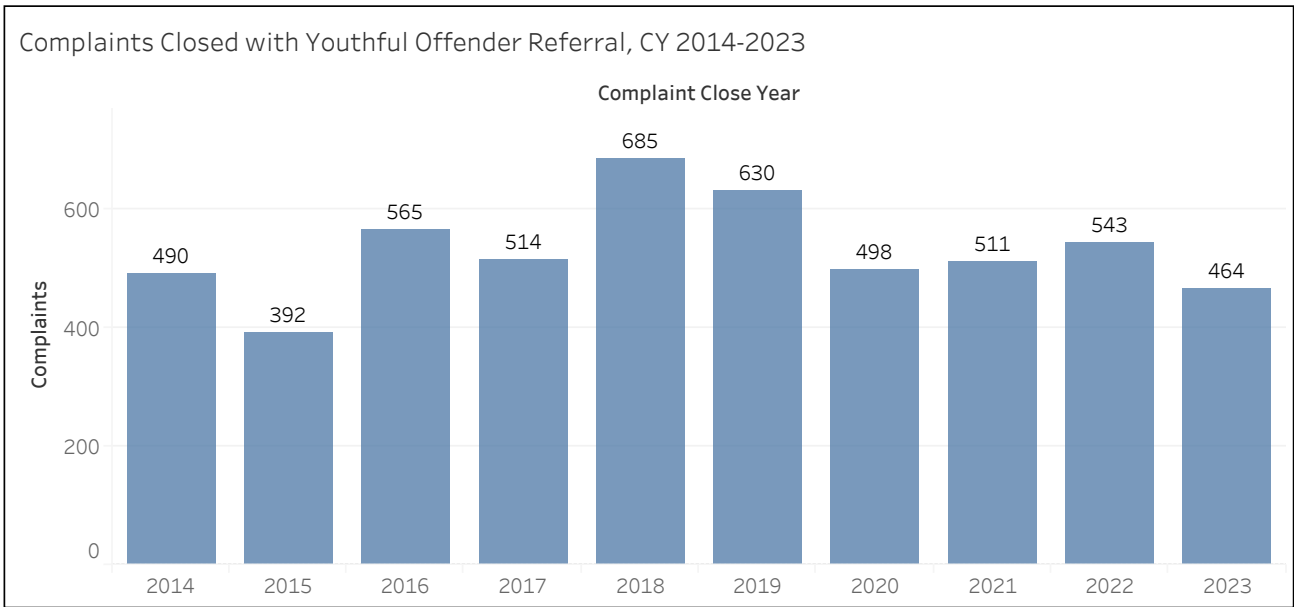
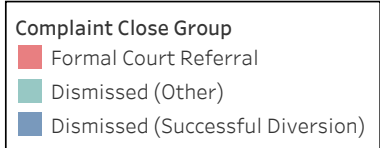
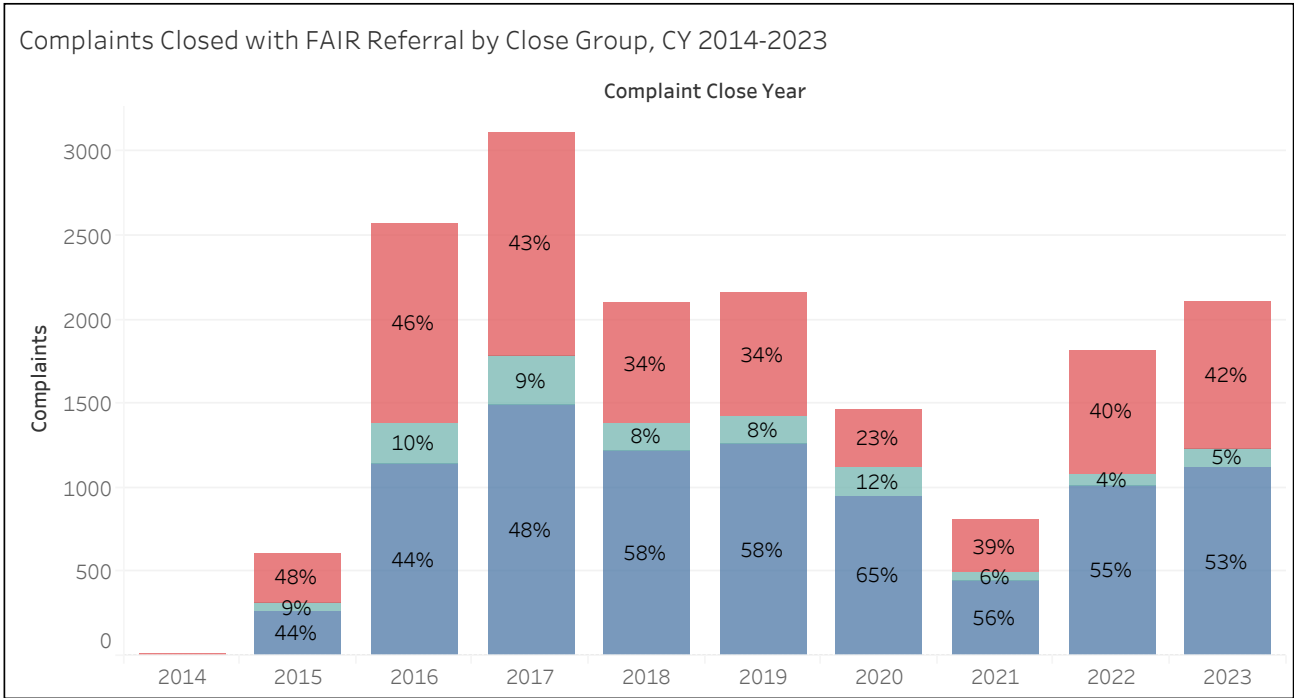
- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.





ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Closed with FAIR Referral and Youthful Offender Referral  
CY 2014-2023



Statistical Analysis Considerations

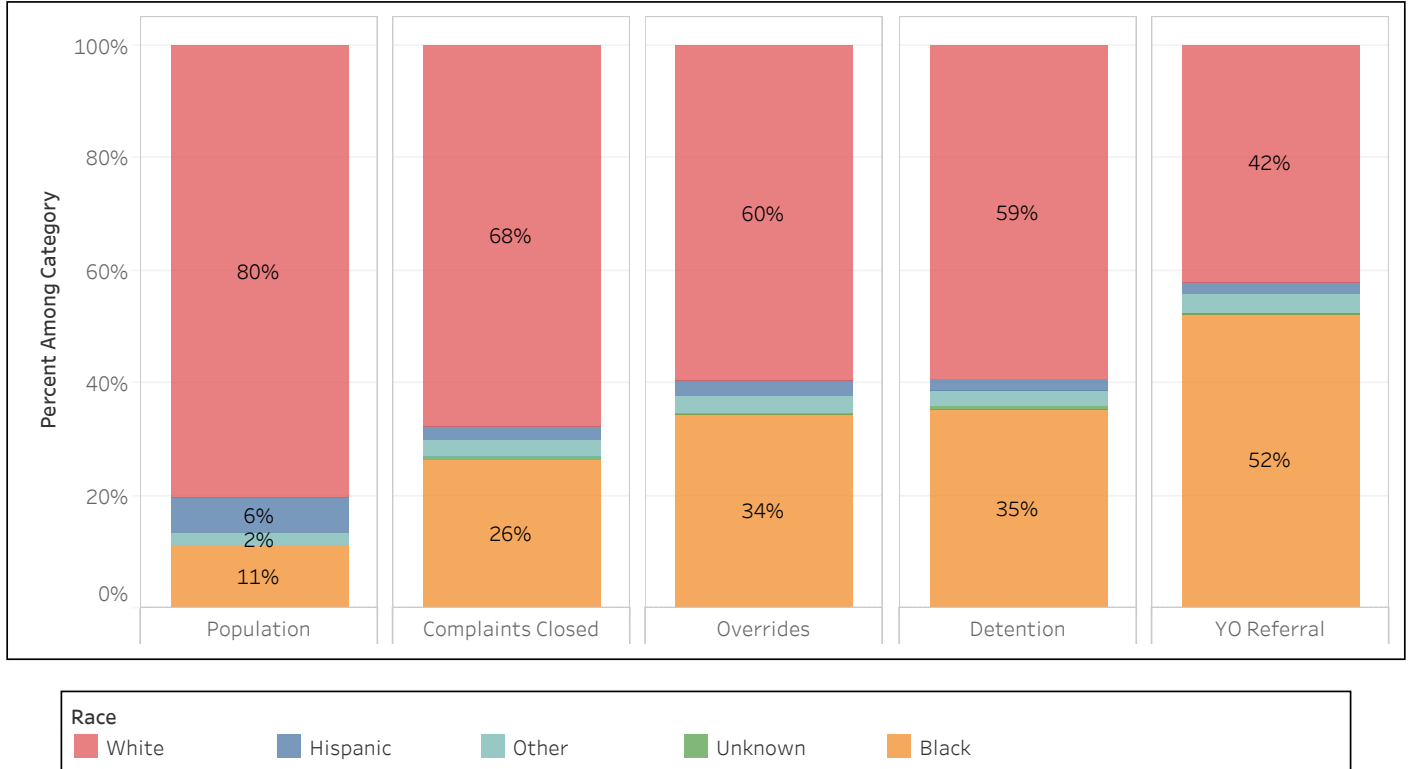
- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



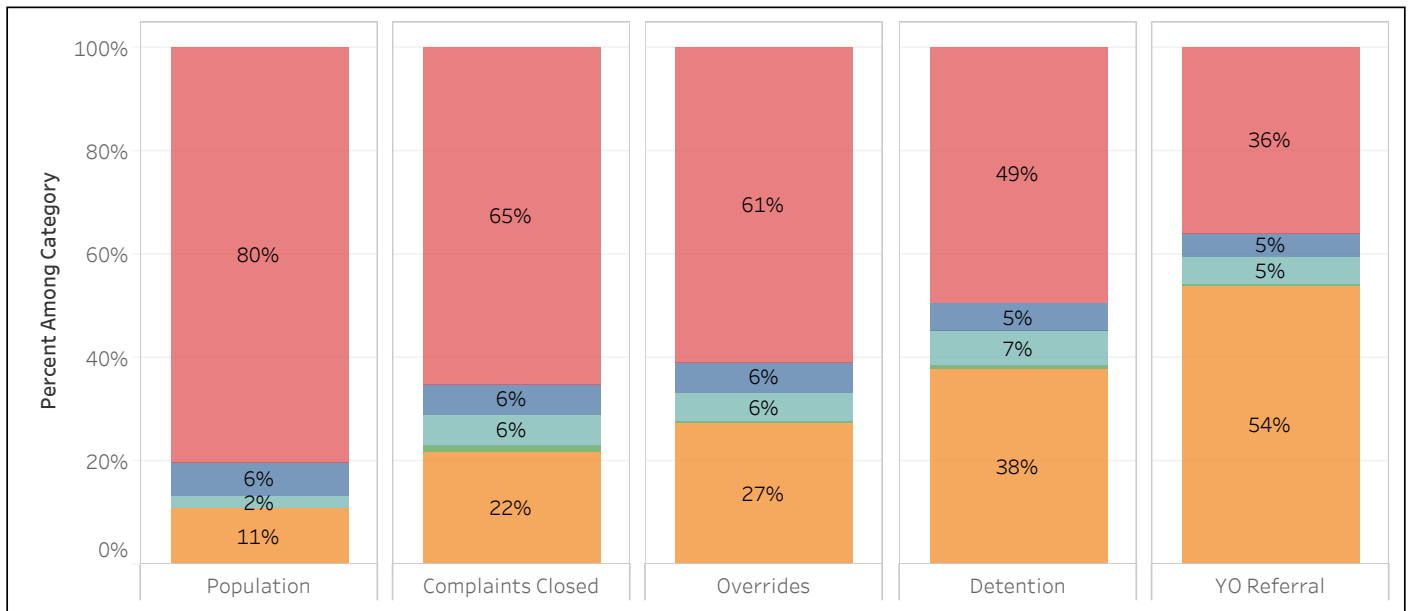
**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**

**Complaints Closed at Selected Contact Points by Race vs Youth Population by Race**  
**CY 2014-2023**

Complaints Closed at Selected Contact Points by Race vs. Youth Population by Race, CY 2014



Complaints Closed at Selected Contact Points by Race vs. Youth Population by Race, CY 2019



**Statistical Analysis Considerations**

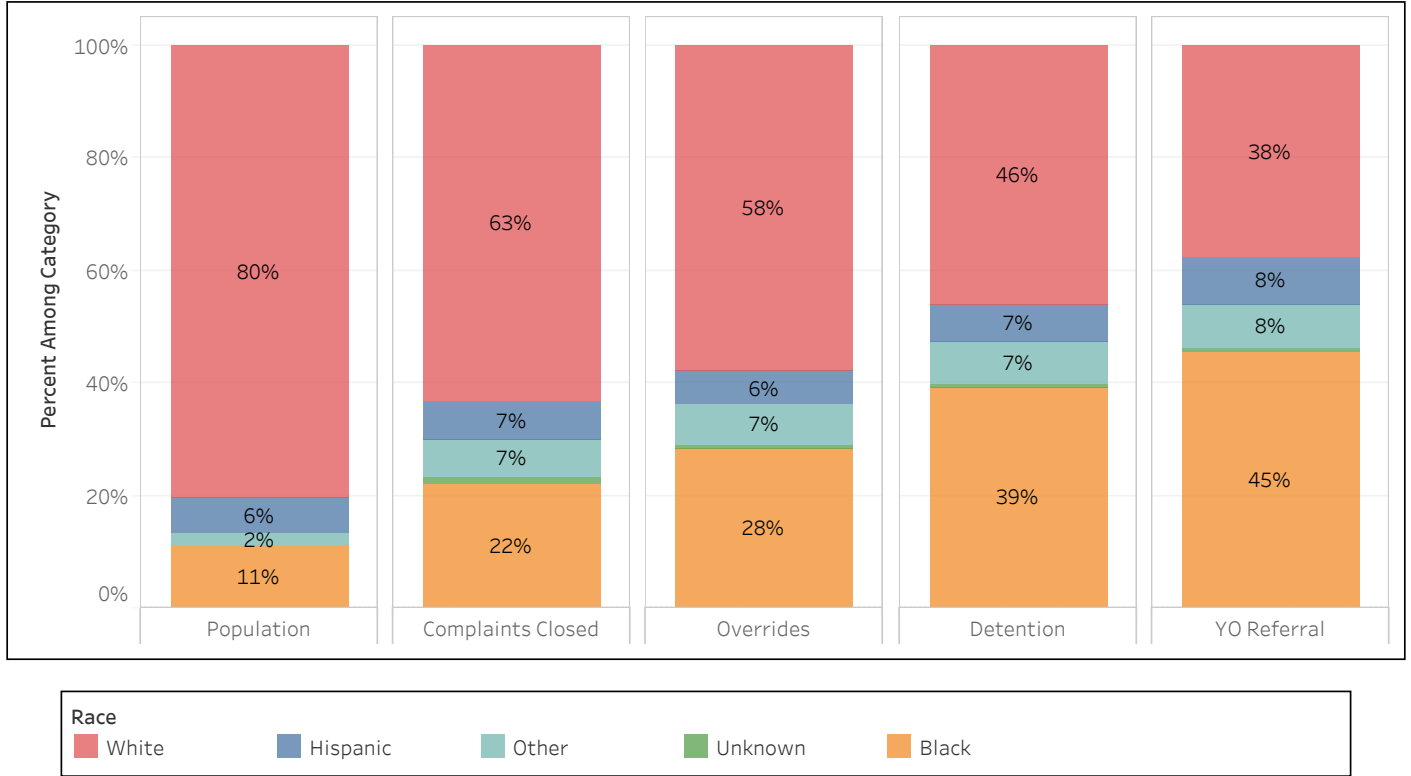
- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Closed at Selected Contact Points by Race vs Youth Population by Race  
CY 2014-2023

Complaints Closed at Selected Contact Points by Race vs. Youth Population by Race, CY 2023



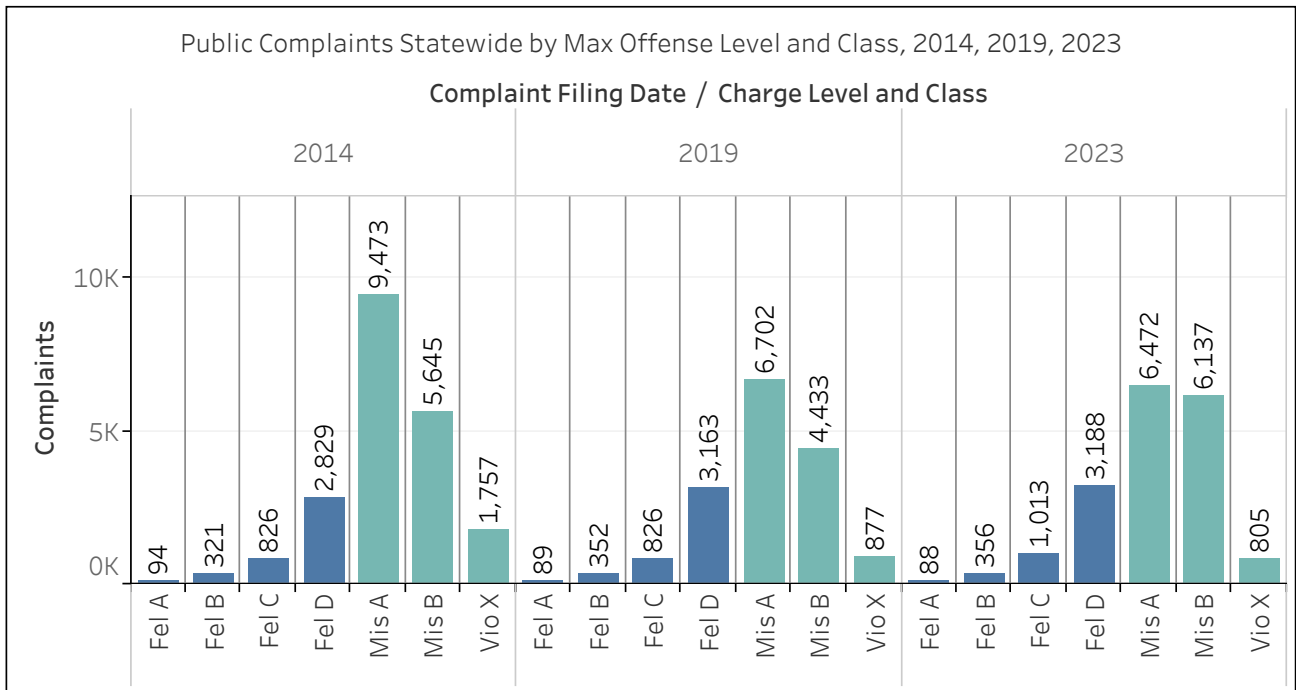
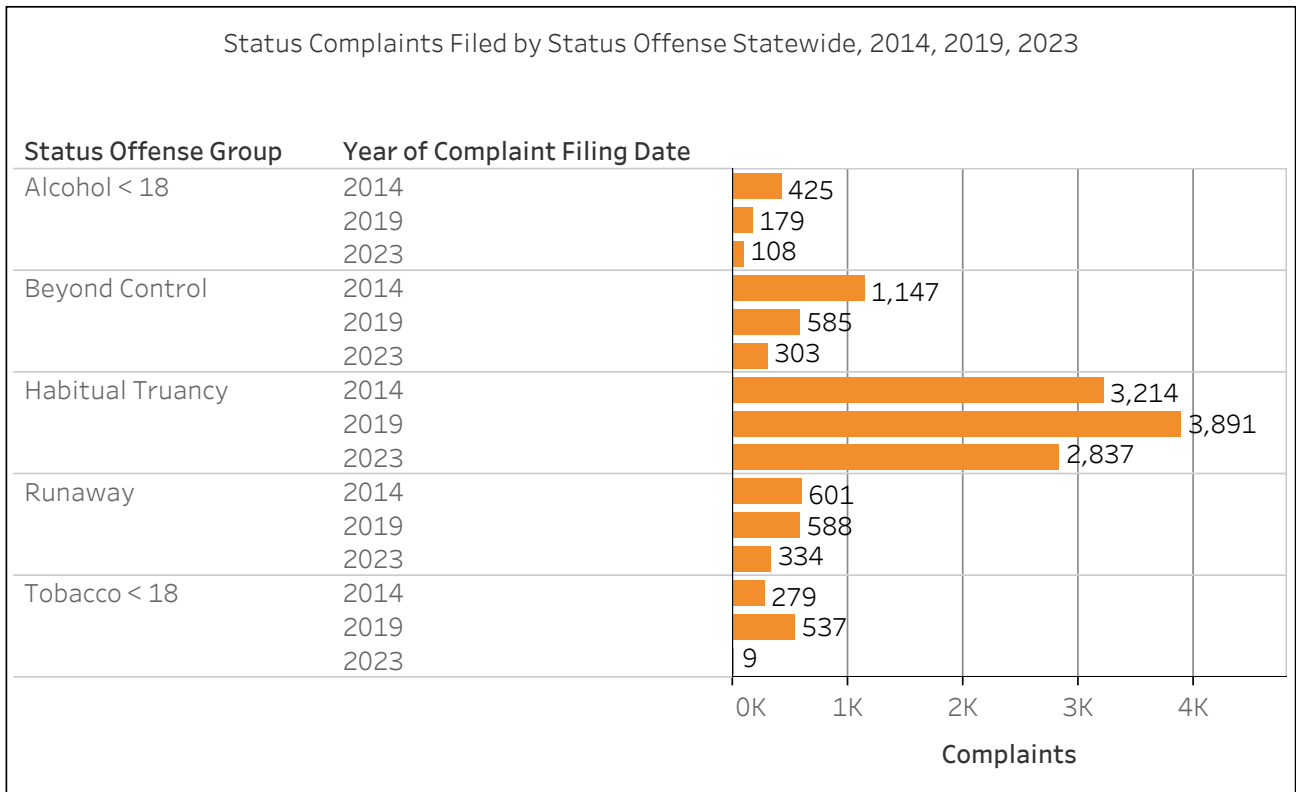
Statistical Analysis Considerations

- \* Data provided from the Court Designated Worker Case Management System.
- \* Count of complaints does not equal count of youth, as a single youth may have one or more complaints.



**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**

**Complaints Filed by Complaint Type and Group**  
**Calendar Years 2014, 2019, & 2023**



**Statistical Analysis Considerations**

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a youth may have one or more complaints filed.

Run Date:

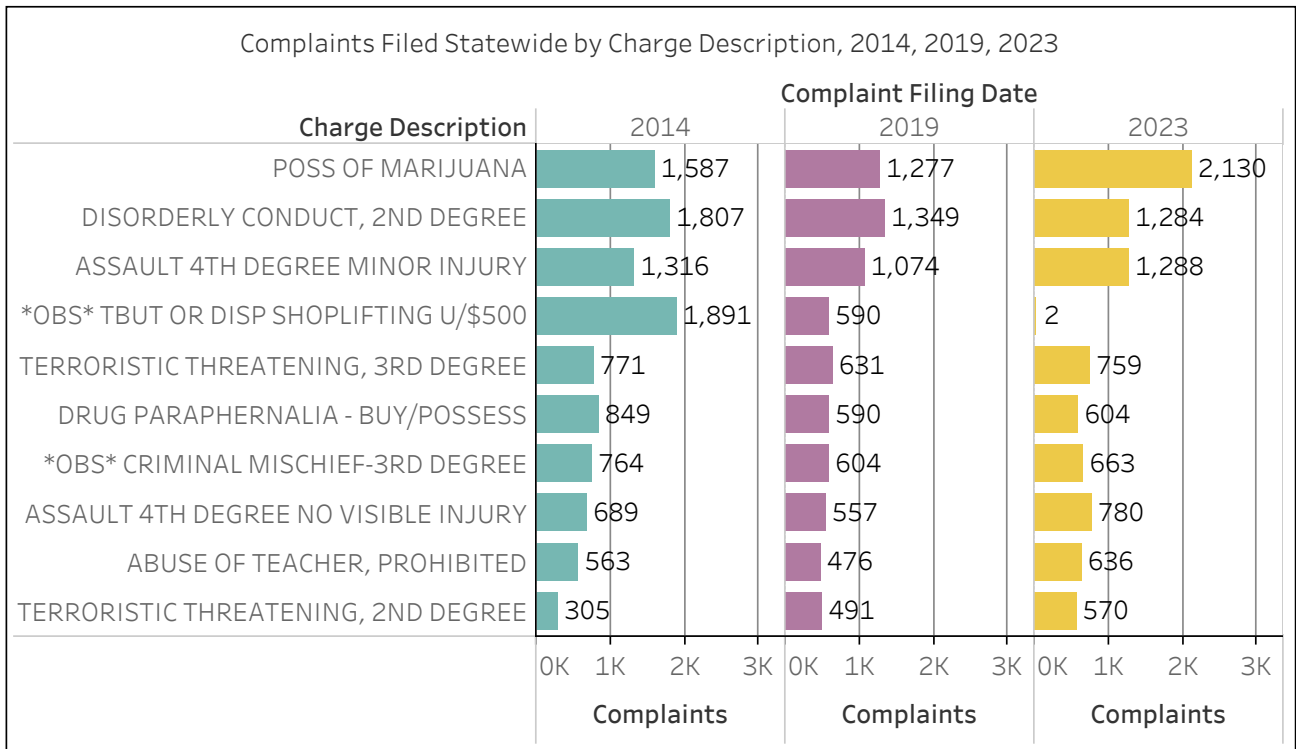
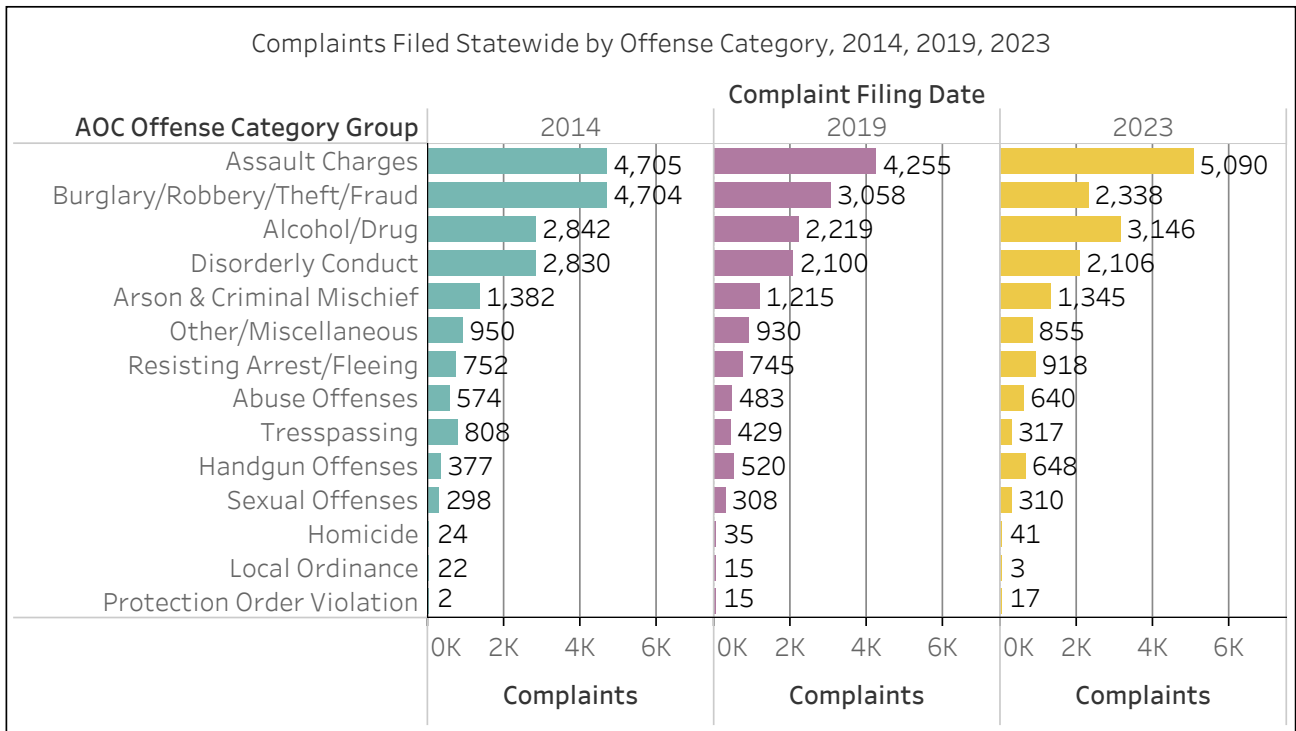
9/5/2024

Page 1 of 5



**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**

**Complaints Filed by Offense Category and Charge Description**  
**Calendar Years 2014, 2019, & 2023**



**Statistical Analysis Considerations**

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a youth may have one or more complaints filed.

Run Date:

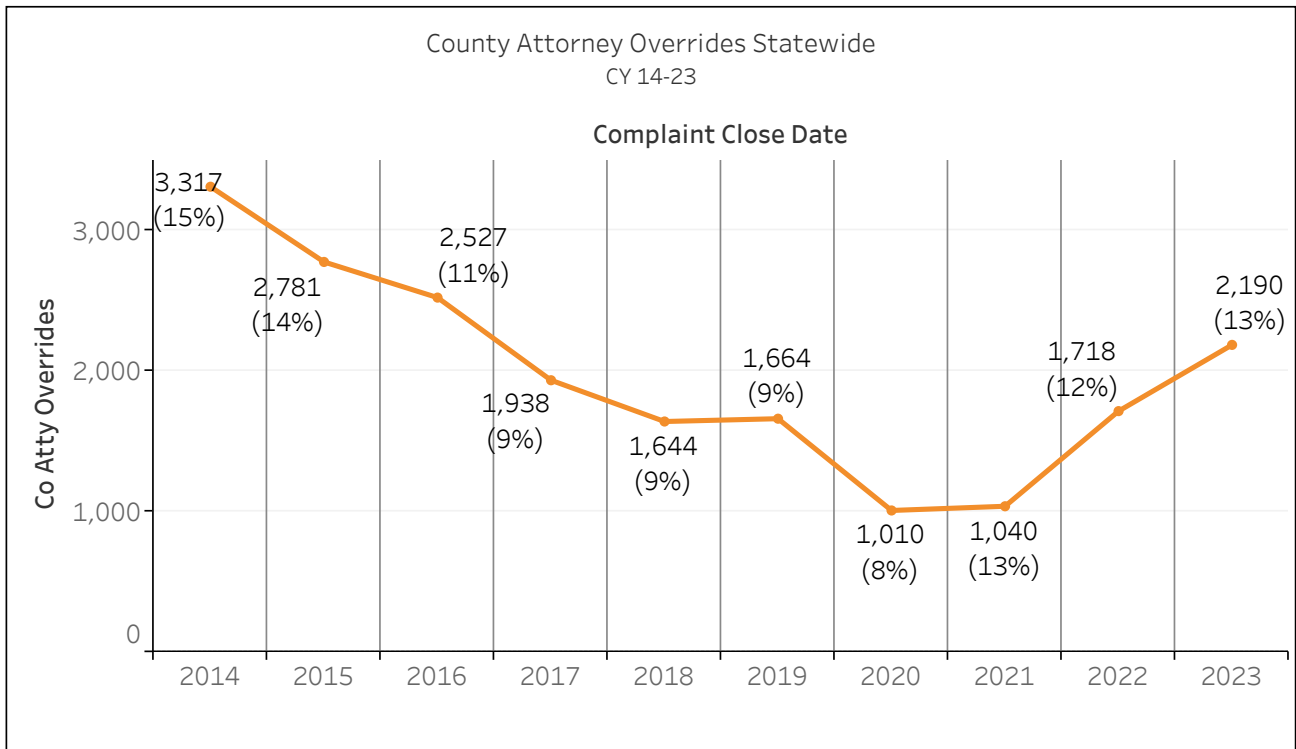
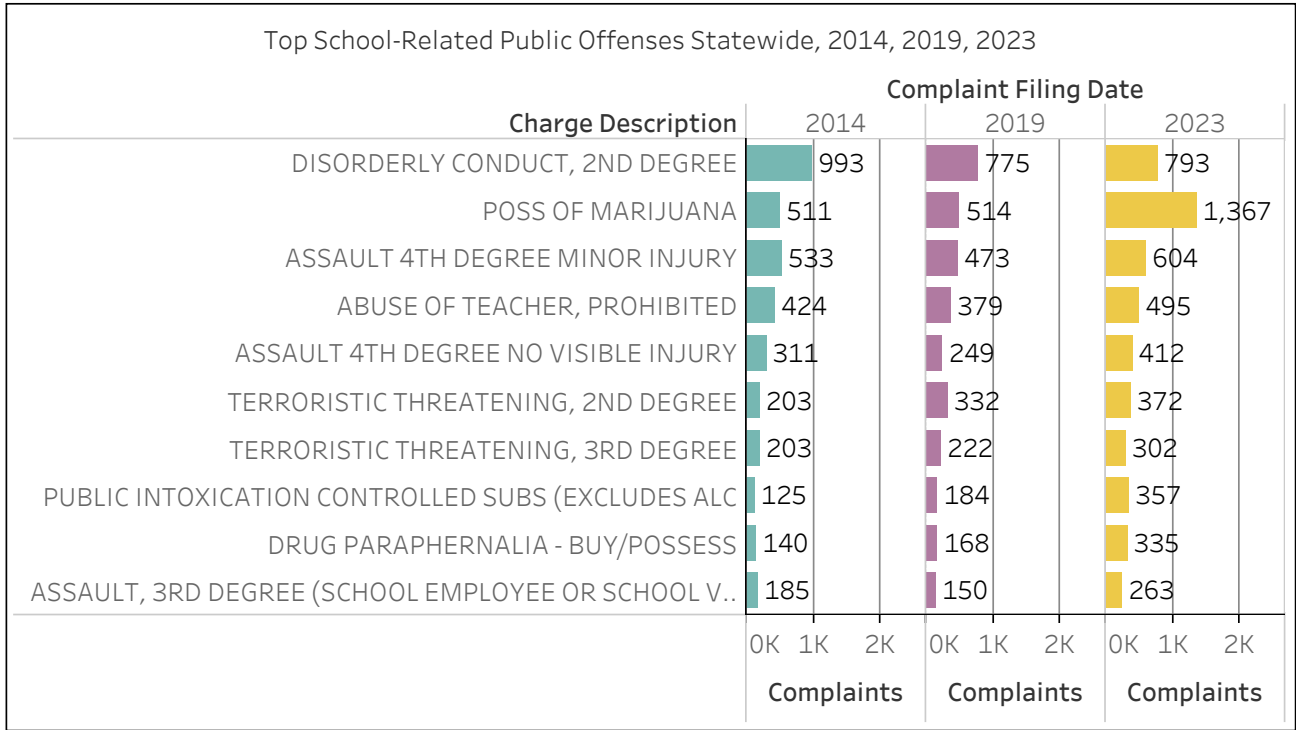
9/5/2024

Page 2 of 5



**ADMINISTRATIVE OFFICE OF THE COURTS**  
**Research and Statistics**

**Complaints Filed with School-Related Charges or Closed by CA Override**  
**Calendar Years 2014-2023**



**Statistical Analysis Considerations**

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a youth may have one or more complaints filed.

Run Date:

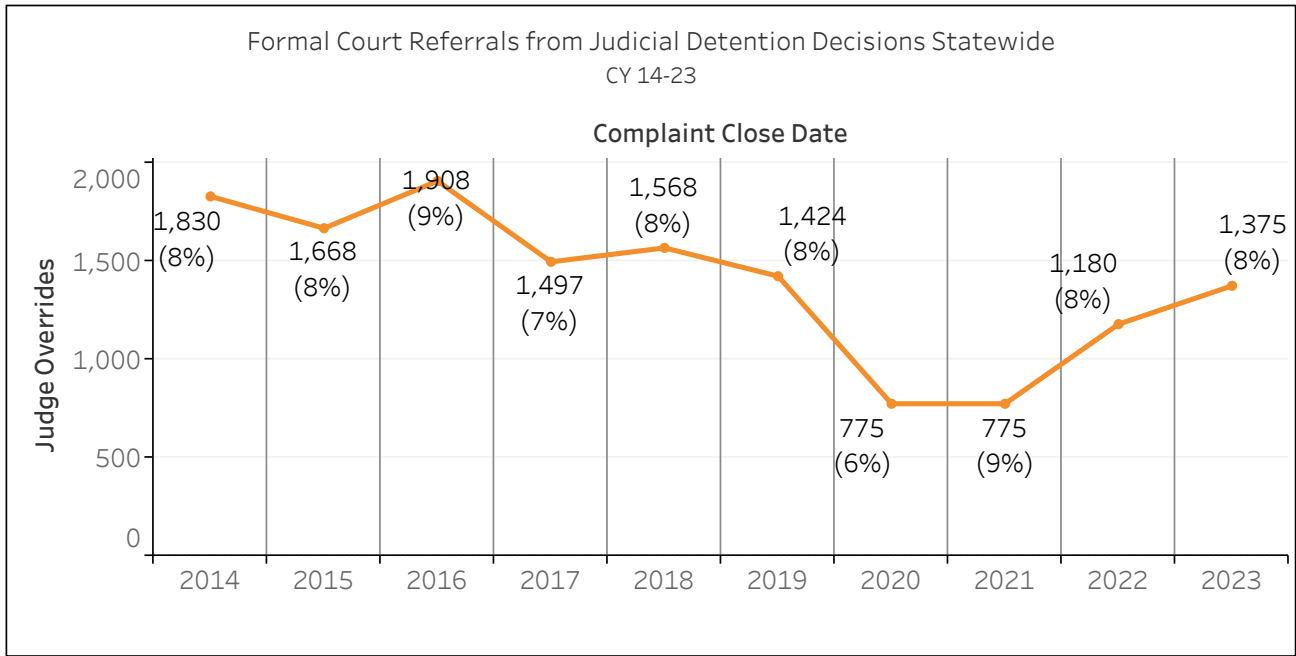
9/5/2024

Page 3 of 5



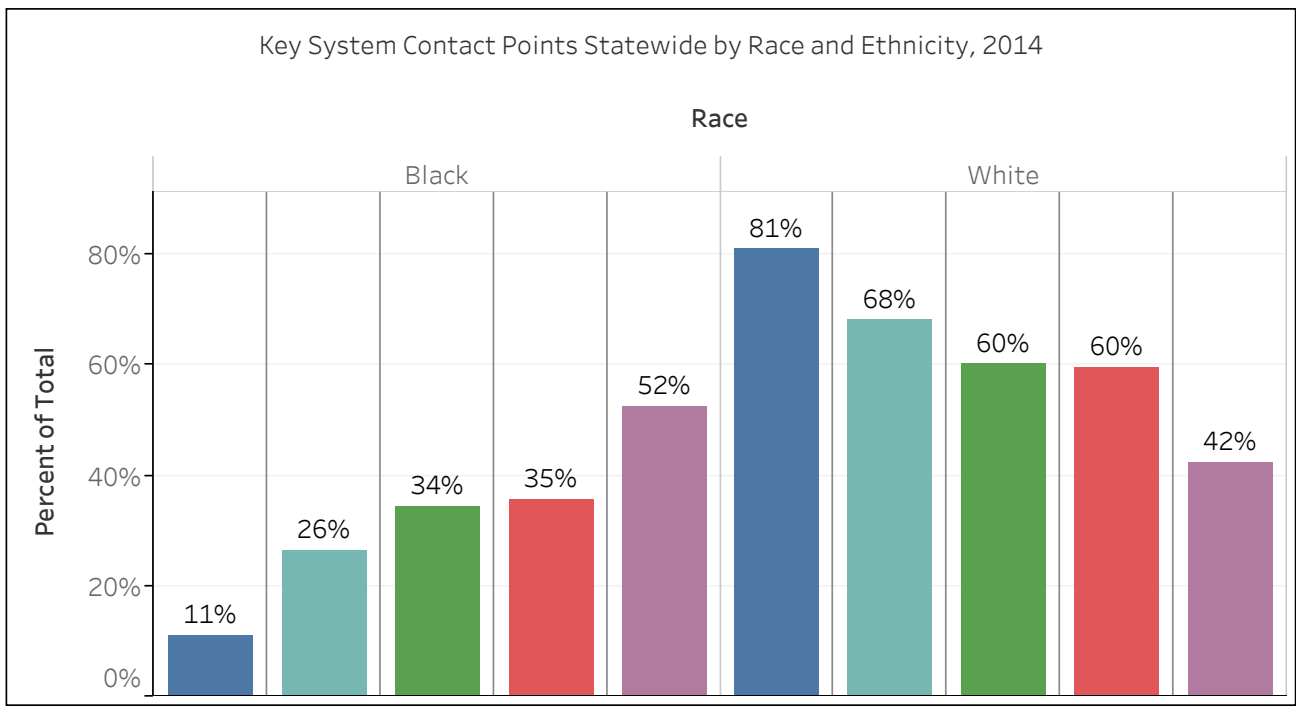
ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Closed by Judicial Override & Key System Contact Points  
Calendar Years 2014-2023



Measure Names

- Population%
- Complaint%
- Override%
- Detained%
- YOReferral%



Statistical Analysis Considerations

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a youth may have one or more complaints filed.

Run Date:

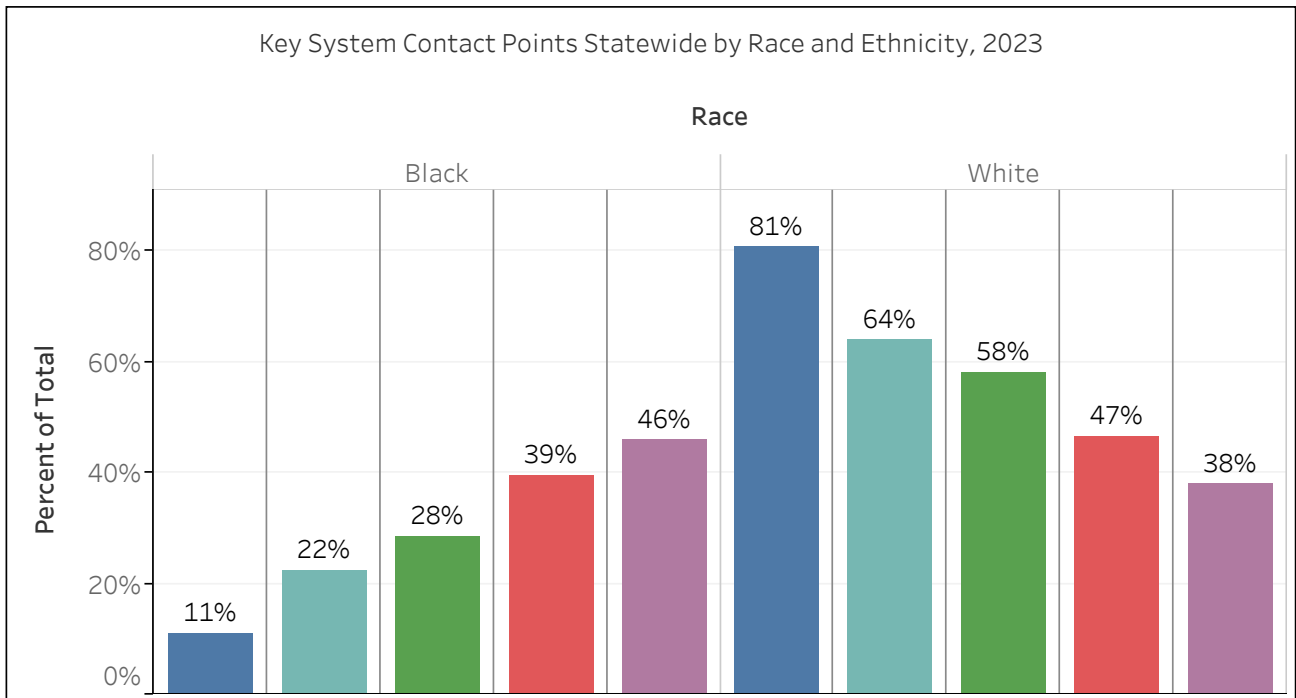
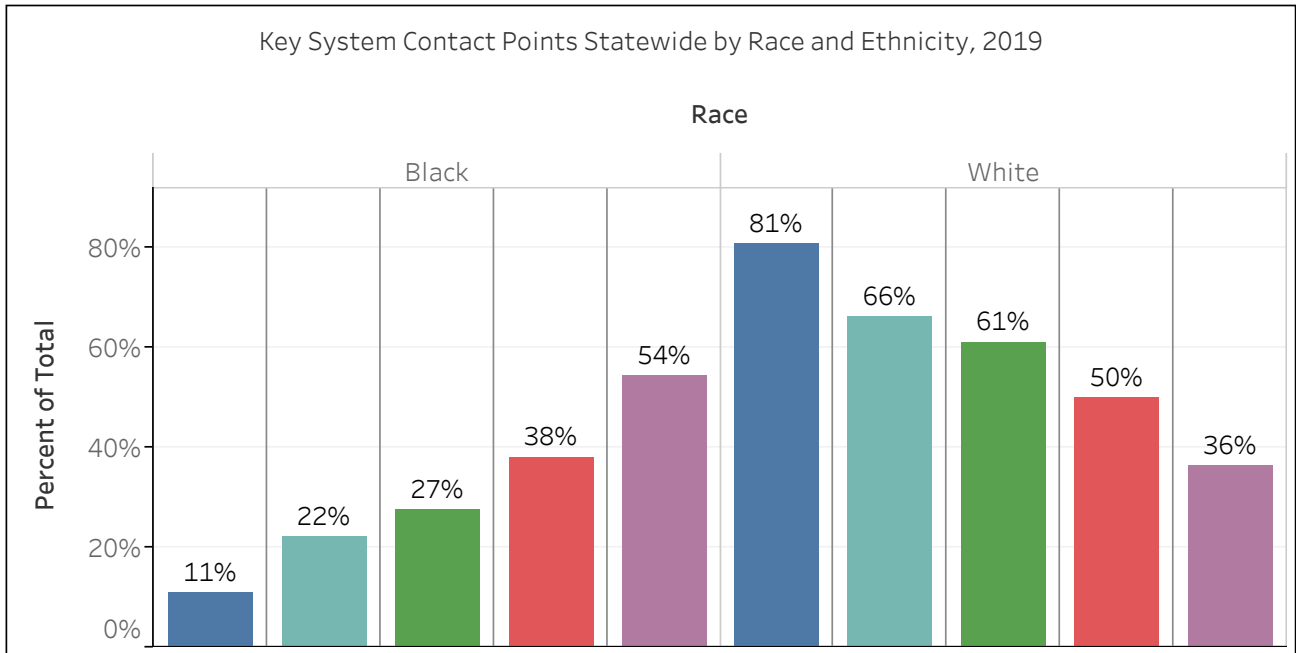
9/5/2024

Page 4 of 5



ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

Complaints Closed at Key System Contact Points  
Calendar Years 2019 & 2023



Statistical Analysis Considerations

\* Data provided from the Court Designated Worker Case Management System.

\* Count of complaints does not equal count of youth, as a youth may have one or more complaints filed.

Run Date:

9/5/2024

Page 5 of 5